

# Greedy Method

## General method:

The Greedy method is a straight forward and powerful technique to design algorithms. It is popular to obtain optimal solution, most of these problems have 'n' inputs and require us to obtain a subset that satisfies some constraints. Any subset that satisfies these constraints is called a feasible solution.

A feasible solution which maximises and minimises the objective function. So when the required solution is obtained it is called as optimal solution. The Greedy method divides an algorithm that works in stages, considering one input at a time, at each stage a decision is made regarding whether a particular input is in an optimal solution. This is done by some selection procedure. The feasible solutions are added to the partially constructed optimal solution. If the input is infeasible then that will not be added to the partial solution. The selection process itself is based on some optimisation measure. This measure may be the objective function. This kind of selection process is called selection paradigm.

## Control abstraction:

Algorithm Greedy(a, n)

```

{
  Solution := 0;
  for i := 1 to n do
  {
    x := select(a);
    if (feasible (solution, x)) then
      solution := solution + x;
  }
}

```

return solution;

### Applications of Greedy method:

- 1) Knapsack problem
- 2) Job Scheduling with deadlines
- 3) Minimum Cost Spanning tree
- 4) Optimal storage on tapes
- 5) Single source shortest path problem

### Advantages:

- It is easy to write the algorithm
- It is easy to write the code
- It produces efficient results

### Disadvantage:

It doesn't give guarantee for the optimal solution

### Knapsack Problem:-

In this method 'n' objects are given and a knapsack (or) empty bag are given. Object 'i' has a weight  $W_i$  and the knapsack has the capacity 'm'. Now the knapsack problem is that we should place the objects into the bag without exceeding the bag capacity.

If a fraction  $X_i$ ,  $0 \leq X_i \leq 1$ , of object 'i' is placed into the knapsack then a profit of ' $P_i X_i$ ' is earned.

The problem can be stated as Maximise  $\sum_{1 \leq i \leq n} P_i X_i$

such that  $\sum_{1 \leq i \leq n} W_i X_i$  such that  $1 \leq i \leq n$  The profits

and weights are +ve numbers

Example: Consider the following instance of knapsack problem  $n=3, M=20$

$$(P_1, P_2, P_3) = (25, 24, 15)$$

$$(W_1, W_2, W_3) = (18, 15, 10)$$

Case (i): Maximum Profit

Case (ii): Minimum weight

Case (iii): Maximum profit per unit weight

Case (iv): Maximum weight

Sol:

Case (i): Maximum Profit

Here we need to place an item in the bag whose profit is

Maximum

$$X_1 = 1, X_2 = 2/15, X_3 = 0$$

$$\begin{aligned} \Rightarrow \sum P_i X_i &= P_1 X_1 + P_2 X_2 + P_3 X_3 \\ &= 25(1) + 24(2/15) + 15(0) \\ &= 28.2 \end{aligned}$$

Case (ii): Minimum weight:

We place an item in the bag whose weight is minimum

$$X_1 = 0, X_2 = 10/15, X_3 = 1$$

$$\begin{aligned} \sum P_i X_i &\Rightarrow P_1 X_1 + P_2 X_2 + P_3 X_3 \\ &= 25(0) + 24(10/20) + 15(1) \\ &= 0 + 12 + 15 \\ &= 27 \end{aligned}$$

Case (iii): Maximum profit per unit weight

We place an item in the bag whose P/W ratio is maximum

$$\frac{P_1}{W_1} = \frac{25}{18} = 1.4$$

$$\frac{P_2}{W_2} = \frac{24}{15} = 1.6$$

$$\frac{P_3}{W_3} = \frac{15}{10} = 1.5$$

$$X_1 = 0, X_2 = 1, X_3 = 5/10 = 1/2$$

$$\begin{aligned} \leq P_1 X_1 &\Rightarrow P_1 X_1 + P_2 X_2 + P_3 X_3 \\ &= 25(0) + 24(1) + 15\left(\frac{1}{2}\right) \\ &= 24 + 7.5 \\ &= 31.5 \end{aligned}$$

Case (v): Maximum weight

$$X_1 = 1; X_2 = 2/15; X_3 = 0$$

$$\begin{aligned} \leq W_1 X_1 &= W_1 X_1 + W_2 X_2 + W_3 X_3 \\ &= 18(1) + 15\left(\frac{2}{15}\right) + 10(0) \\ &= 18 + 2 \\ &= 20 \end{aligned}$$

Algorithm:

Algorithm Greedyknapsack(m, n)

{

for i := 1 to n do

    x[i] := 0.0;

    v := m;

for i := 1 to n do

{

if (w[i] > v) then

    break;

    x[i] := 1.0;

    v := v - w[i];

}

if (i ≤ n) then

    x[i] := v/w[i];

}

endif

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① Ex n = 7

m = 15

{ P<sub>1</sub>, P<sub>2</sub>, ..., P<sub>7</sub> } = { 10, 5, 15, 7, 6, 18, 3 }

{ w<sub>1</sub>, w<sub>2</sub>, ..., w<sub>7</sub> } = { 2, 3, 5, 7, 1, 4, 1 }

## Job scheduling with deadline:

Given a set of 'n' jobs. Job 'i' is associated with an integer deadline  $d_i \geq 0$  and a profit  $P_i > 0$

For any job 'i' the profit earned if and only if the job is completed by its deadline. To complete a job one has to process the job on a machine for 1 unit of time. Only one machine is available for processing the jobs.

A feasible solution for this problem is a subset 'j' of jobs such that each job in this subset can be completed by its deadline. The value of a feasible solution 'j' is sum of the profits of the job 'j', and optimal solution with maximum value.

Ex:

n	$P_i$	$d_i$
1	70	2
2	12	1
3	18	2
4	35	1

(1,3)  
(3,1)  
(2,3)  
(2,1)  
(4,1)  
(4,3)



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n	$P_i$
(1,3)	88
(2,1)	82
(2,3)	30
(3,1)	88
(4,1)	105
(4,3)	53

Example

$J_1$	$J_2$	$J_3$	$J_4$	$J_5$	$J_6$
5	3	3	2	4	2
200	180	190	300	120	100

optimal  $\rightarrow$  990 units

$J_2, J_4, J_3, J_5, J_1$

{4,1} is the optimal solution. which produce maximum profit

Find the optimal solution of the knapsack instances

$n=7, M=15$

$(P_1, P_2, P_3, P_4, P_5, P_6, P_7) = (10, 5, 15, 7, 6, 18, 3)$

$(W_1, W_2, W_3, W_4, W_5, W_6, W_7) = (2, 3, 5, 7, 1, 4, 1)$

Case (i): Maximum Profit

$x_1=1, x_2=0, x_3=1, x_4=4/7, x_5=0, x_6=1, x_7=0$   
 $\sum P_i x_i = 10(1) + 0(0) + 15(1) + 7(4/7) + 6(0) + 18(1) + 3(0)$   
 $= 10 + 15 + 4 + 18$   
 $= 47$

Case (ii): Maximum weight

$x_1=1, x_2=1, x_3=3/5, x_4=0, x_5=1, x_6=1, x_7=1$   
 $\sum P_i x_i = 10(1) + 5(1) + 15(3/5) + 7(0) + 6(1) + 18(1) + 3(1)$   
 $= 10 + 5 + 9 + 6 + 18 + 3$   
 $= 51$

Case (iii): Maximum profit per unit weight

$\frac{P_1}{W_1} = 5, \frac{P_2}{W_2} = 1.6, \frac{P_3}{W_3} = 3, \frac{P_4}{W_4} = 1, \frac{P_5}{W_5} = 6, \frac{P_6}{W_6} = 4.5, \frac{P_7}{W_7} = 3$   
 $x_1=1, x_2=1/5, x_3=1, x_4=0, x_5=1, x_6=1, x_7=1$   
 $\sum P_i x_i = 10(1) + 5(0.5) + 15(1) + 7(0) + 6(1) + 18(1) + 3(1)$   
 $= 10 + 2.5 + 15 + 0 + 6 + 18 + 3$   
 $= 54.5$

Case (iv): Maximum weight  $x_1=0, x_2=0, x_3=1, x_4=1, x_5=0, x_6=3, x_7=0$

Ex: Job sequencing  $n=4$

i)  $(P_1, P_2, P_3, P_4) = (100, 10, 15, 97)$

$(D_1, D_2, D_3, D_4) = (2, 1, 2, 1)$

ii)  $(P_1, P_2, P_3, P_4, P_5) = (20, 15, 10, 5, 1)$

$(D_1, D_2, D_3, D_4, D_5) = (2, 2, 1, 3, 3)$

J	$P_j$	$D_j$	$P_j/D_j$
1	100	2	50
2	10	1	10
3	15	2	7.5
4	97	1	97

J	$P_j$	$D_j$
1	20	2
2	15	2
3	10	1
4	5	3
5	1	3

J	$P_j$	$D_j$	$P_j/D_j$
1	100	2	50
2	10	1	10
3	15	2	7.5
4	97	1	97
5	20	2	10
6	15	2	7.5
7	10	1	10
8	5	3	1.67
9	1	3	0.33

(4,1) is the optimal solution

Algorithm:

Algorithm Greedyjob(d, j, n)

```

j := 1
for i := 2 to n do
    if (Call jobs in JUF(i) can be completed by their deadlines)
        then
            j = JUF(i);
    
```

Algorithm JS(d, j, n)

```

d[0] := J[0] := 0;
J[1] := 1;
k := 1;
for i := 2 to n do
    r := k;
    while ((d[J[r]] > d[i]) and (d[J[r]] != r)) do
        r := r - 1;
    if ((d[J[r]] <= d[i]) and (d[i] > r)) then
        for q := k to (r+1) step -1 do
    
```

J[q+1] := J[q];

J[r+1] := i;

k := k + 1;

return k;

$$nPr = \frac{n!}{(n-r)!}$$

$$= n_c = \frac{n!}{r! (n-r)!}$$

$$S_1 = \frac{2}{2}$$

$$S_2 = \frac{1}{2} \frac{4c_1}{4! \times 2! \times 1!}$$

$$S_3 = \frac{2}{2} \frac{4! \times 2! \times 1!}{2! \times 2! \times 1!}$$

$$S_4 = \frac{4c_1 + 4c_2}{4! + 6 \frac{4! \times 2!}{2! \times 2!}}$$

$$= 10$$

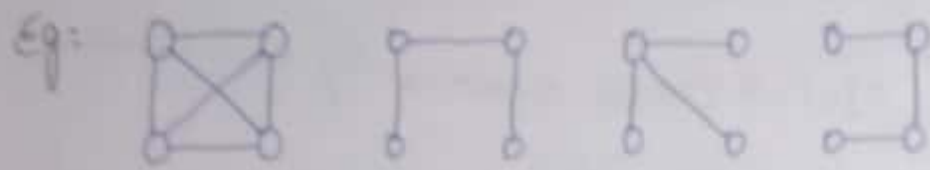
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Remarks

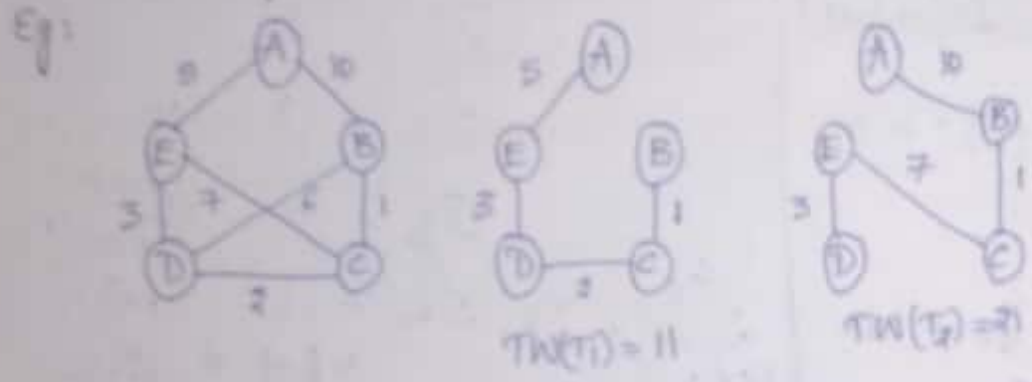
S-NO	Feasible Sol	Proces- Sess	Value P <sub>n</sub>

Minimum Cost spanning trees:

Let  $G=(V,E)$  be an undirected connected graph. A subgraph  $T=(V,E')$  of  $G$  is a spanning tree if and only if  $T$  is a tree.



Minimum cost spanning trees of weighted connected graphs  $G$  is a spanning tree with minimum (or) smallest weights.



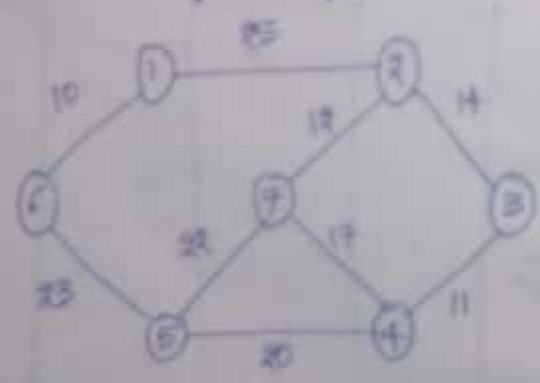
In the above example graph  $T_1$  have the minimum weight. therefore  $T_1$  is considered as the minimum cost spanning tree.

Minimum cost spanning tree can be obtained by using the following two algorithms:

- 1) Prim's algorithm
- 2) Kruskal's algorithm

1) Prim's algorithm:

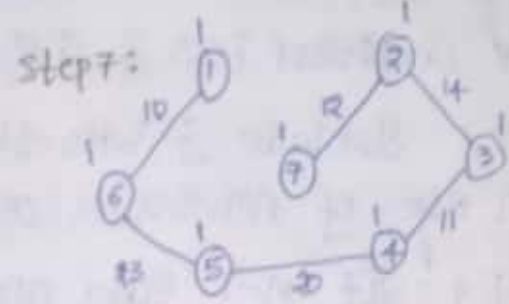
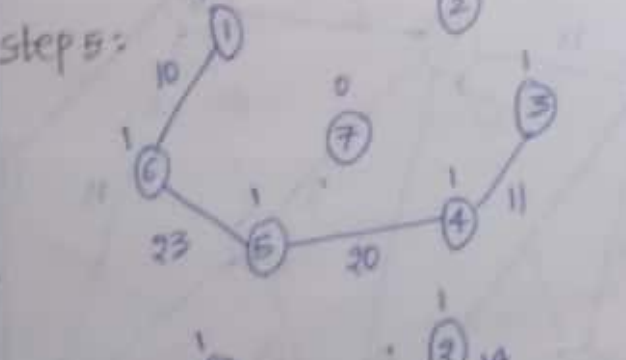
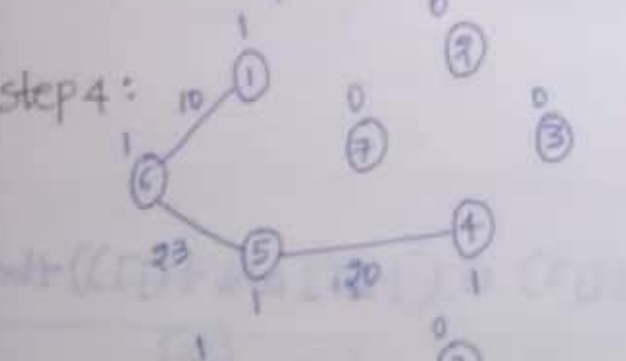
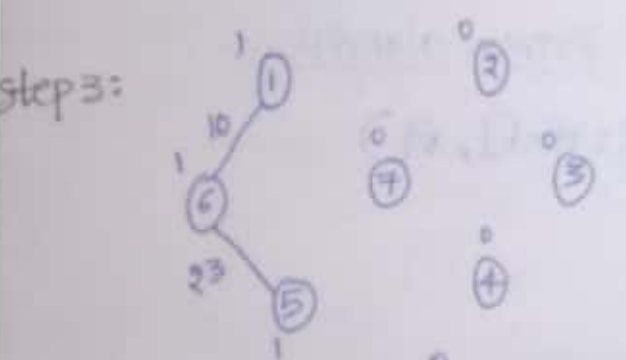
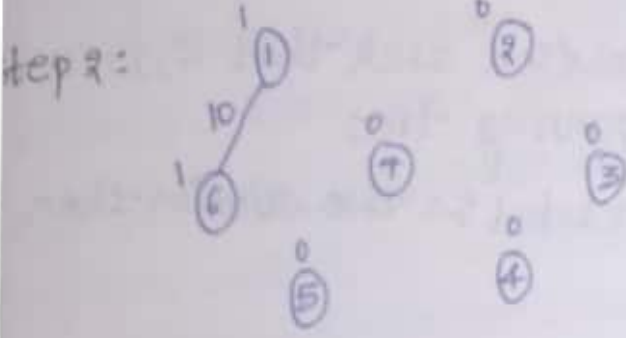
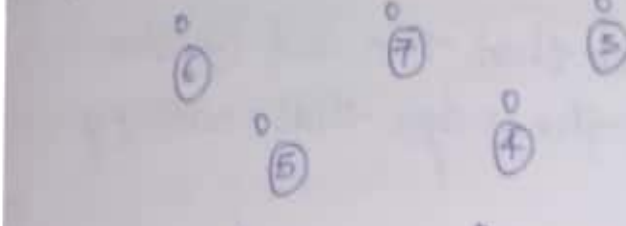
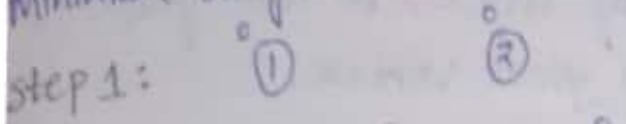
Consider the graph given below



Now consider all the vertices as '0' then select one vertex as the first vertex, then select adjacent vertices of first vertex



Among the adjacent vertices, select one vertex with minimum edge and the algorithm proceeds by selecting adjacent edges with minimum weight, care should be taken for not forming circuit



Total weight =  $10 + 23 + 20 + 11 + 4 + 12$   
 Total weight = 90

### Algorithm:

Step 1: Let 'S' be the solution for minimum spanning tree which is initially empty  $G(V, E)$  be the graph.

Include any vertex  $i$ , say first vertex.

Step 2: Select a vertex 'j' such that the cost of the edge  $(i, j)$  is minimum along the edges that satisfy the condition  $i \in S$  &  $j \notin S$

Step 3: Include 'j' into the solution such that  $(i, j)$  is an edge of minimum cost spanning tree.

Step 4: If 'n-1' edges are included in the solution then stop, else goto step 2

### Detailed Implementation of Prim's algorithm:

Algorithm prim's ( $G[0:n-1, 0:n-1], n$ )

for  $i \leftarrow 0$  to  $n-1$  do

$t[i] \leftarrow 0, t[0] \leftarrow 1;$

$mindist \leftarrow 0.0;$

for  $i \leftarrow 0$  to  $n-1$  do

for  $j \leftarrow 1$  to  $n-1$  do

if  $(G[i, j])$  and  $(t[i] \text{ and } t[j])$  or  $(!t[i] \text{ and } t[j])$  then

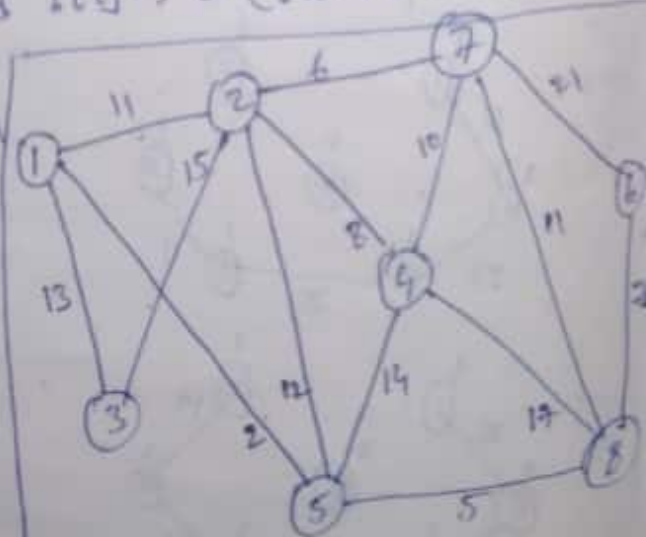
if  $(G[i, j] < mindist)$  then

$mindist \leftarrow G[i, j];$

$V_1 \leftarrow i; V_2 \leftarrow j;$

write  $(V_1, V_2, mindist)$

$t[V_1] \leftarrow t[V_2] \leftarrow 1;$



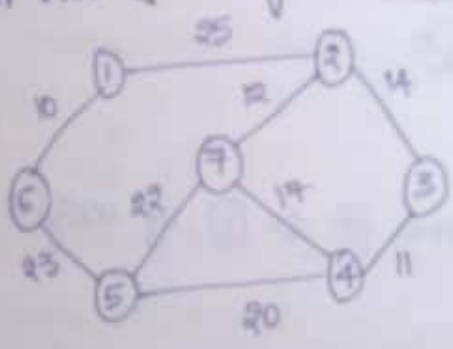
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total ← total + minDist;  
 Write ("Total path set cost", total);

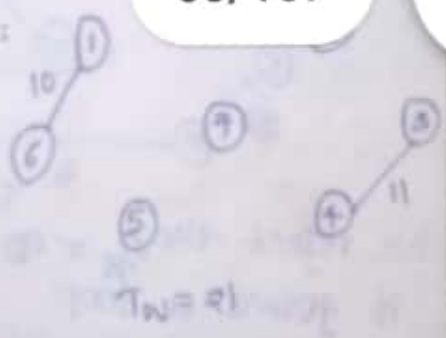
Kruskal's Algorithm:-

Kruskal's Algorithm is the another algorithm of obtaining minimum spanning tree. This algorithm was discovered by a II year graduate Joseph Kruskal. In this algorithm always the minimum cost edge has to be selected but it is not necessary that the selected edge is adjacent. First we will select all the vertices then an edge with optimum weight is selected from heap even though it is not adjacent to previously selected edge. Care should be taken for not forming circuit.

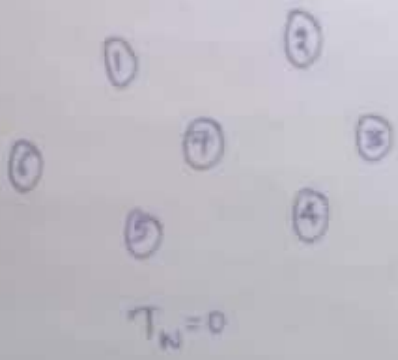
Eg:



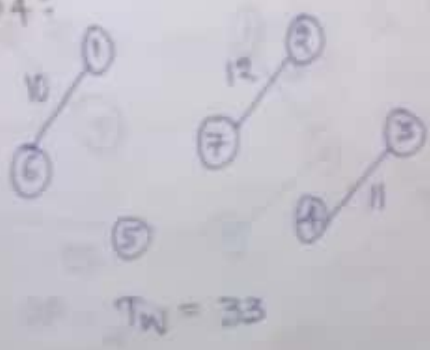
Steps:



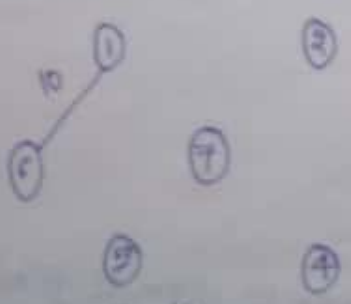
Step 1:



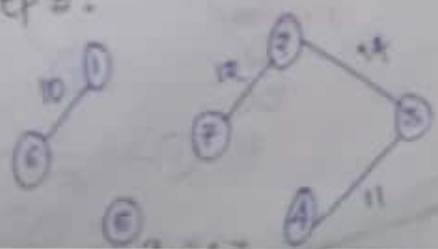
Step 4:



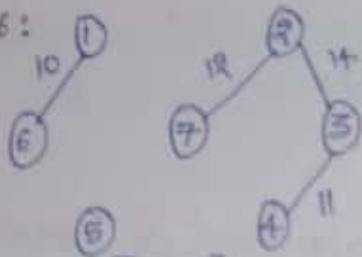
Step 2:



Step 5:



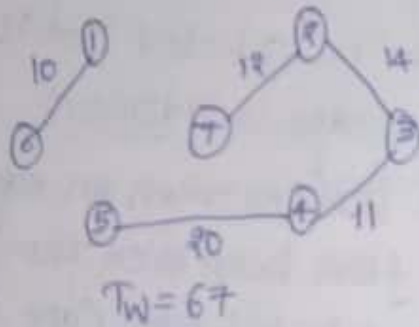
step 6:



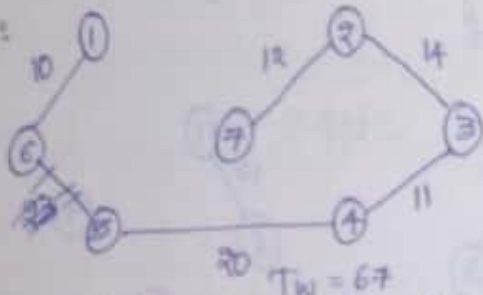
We reject the edge weight '17' i.e from ④ to ⑤ as it forms

A loop

step 7:

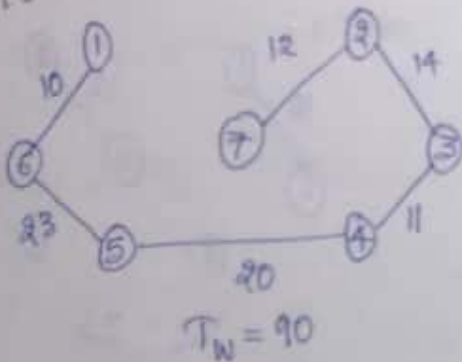


step 8:

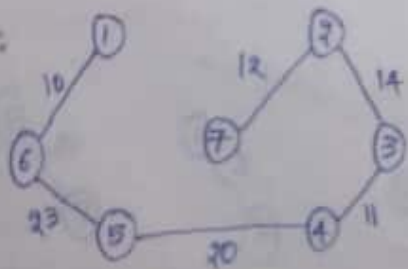


We reject the ~~10 = 20~~ edge from ⑤ to ⑦ of weight '22' since it forms a loop

step 9:



step 10:



reject the edge from node ① to node ③ since it forms a loop



### Algorithm:

step 1: Arrange all the edges in the increasing order of cost

step 2: Include the edge with minimum cost in to the solution set.

step 3: Add the next edge with minimum cost and delete edge from edge set until 'n-1' edges are added (or) the edge set become empty

step 4: If the inclusion of any edge results in a cycle, reject it and move to the next edge

step 5: Goto step 3

### Detailed Implementation of Kruskal's Algorithm:

Algorithm  $kruskals(E, cost, n, t)$

{

Construct a heap out of the edge costs using heapify;

for  $i \leftarrow 1$  to  $n$  do

$P[i] := -1$ ;

$i := 0$ ;  $mincost := 0.0$ ;

while ( $(i < n-1)$  and (heap not empty)) do

{

Delete a minimum cost edge  $(u, v)$  from heap and

reheapify using Adjust;

$j := Find(u)$ ;  $k := Find(v)$ ;

if  $(j \neq k)$  then

{

$i := i + 1$ ;

$t[i, 1] := u$ ;

$t[i, 2] := v$ ;

$mincost := mincost + cost[u, v]$ ;

}

}

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- Union [j, k];

}

if (i ≠ n-1) then

write ("No spanning tree");

else

return mincost;

}

### Optimal storage on Tapes:

There are  $n$ -programs that are to be stored on a computer tape of length 'l' associated with each program 'i' is a length  $l_i$ ,  $1 \leq i \leq n$ . All programs can be stored on the tape if & only if the sum of the length of the program is almost 'l'. We assume that whenever program is to be retrieved from this tape, the tape is initially positioned at the <sup>beginning</sup> end. Hence if the programs are stored in the order  $\mathcal{I} = \mathcal{I}_1, \mathcal{I}_2, \dots, \mathcal{I}_n$ . The time  $t_j$  needed to retrieve the program  $\mathcal{I}_j$  is proportional to  $\sum_{1 \leq k \leq j} l_{i_k}$ . If all the programs are retrieved equally often, then the expected (mean retrieval mean) is  $l \leq t_j$ .

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In this problem we require to find a permutation for 'n' programs so that when they are stored on a tape, Mean Retrieval Time is minimized.

Minimising the MRT is equivalent to minimising the

$$d(\mathcal{I}) = \sum_{1 \leq j \leq n} \sum_{1 \leq k \leq j} l_{i_k}$$

Eg: Let  $n=3$ ,  $(l_1, l_2, l_3) = (5, 10, 3)$

note  $n! = 3! = 6$

Minimising the MRT is equivalent to

$$d(\mathbb{I}) = \sum_{1 \leq j \leq n} \sum_{1 \leq k \leq j} d_{jk}$$

Eg: Let  $n=3$ ,  $(d_{11}, d_{12}, d_{13}) = (5, 10, 3)$

no. of rotations  $n! = 3! = 6$

There are  $n! = 3! = 3 \times 2 \times 1 = 6$  possible orderings. These orderings and their respective 'd' values are

Orderings $\mathbb{I}$	$d(\mathbb{I})$
1, 2, 3	$5+5+10+5+10+3=38$
1, 3, 2	$5+5+3+5+3+10=31$
2, 1, 3	$10+10+5+10+5+3=43$
2, 3, 1	$10+10+3+10+3+5=41$
3, 1, 2	$3+3+5+3+5+10=29$
3, 2, 1	$3+3+10+3+10+5=34$

The optimal ordering is (3, 1, 2)

Algorithm:

Algorithm store  $(n, m)$

$j := 0;$

for  $i := 1$  to  $n$  do

write ("append program",  $i$ , "to permutation for tape",  $j$ );

$j := (j+1) \bmod m;$

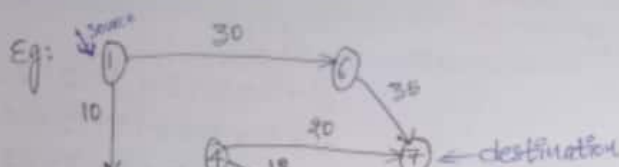
end

Single Source Shortest Path Problem:

Many times graph is used to represent the distances between two cities. In single source shortest path problem the shortest distance from a single vertex called source is obtained.

Let  $G(V, E)$  be a graph, then in single source shortest path from vertex  $v_0$  to all remaining vertices is determined. The vertex  $v_0$  is called source and the

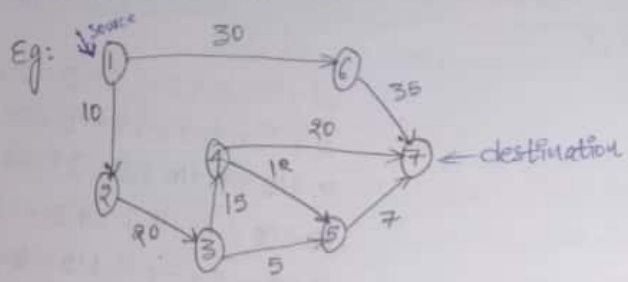
last vertex is called destination. Assume that all the distances are +ve



two cities. In single source shortest path shortest distance from a single vertex called source is obtained.

Let  $G(V, E)$  be a graph, then in single source shortest path from vertex  $v_0$  to all remaining vertices is determined. The vertex  $v_0$  is called source and the

last vertex is called destination. Assume that all the distances are +ve



Start from source vertex, say 1st vertex  $s[1]=1$ . Now select next vertex from vertex '1'

$$\{1, 2\} = 10 \quad \{1, 3\} = \infty \quad \{1, 4\} = \infty \quad \{1, 5\} = \infty \quad \{1, 6\} = 30$$

$$\{1, 7\} = \infty$$

Hence set  $S[2]=1$

$\{1, 2\}$  produces shortest path. Hence set  $s[2]=1$ .

From vertex '2' select the next vertex.

$$\{1, 2, 3\} = 30, \quad \{1, 2, 4\} = \infty \quad \{1, 2, 5\} = \infty$$

$$\{1, 2, 6\} = \infty \quad \{1, 2, 7\} = \infty$$

$\{1, 2, 3\}$  produces shortest path. Hence set  $s[3]=1$ .

From vertex '3' select the next vertex.

$$\{1, 2, 3, 4\} = 45 \quad \{1, 2, 3, 5\} = 35 \quad \{1, 2, 3, 6\} = \infty$$

$$\{1, 2, 3, 7\} = \infty$$

$\{1, 2, 3, 5\}$  produces shortest path. Hence set  $s[5]=1$ .

From vertex '5' select the next vertex.

$$\{1, 2, 3, 5, 4\} = \infty \quad \{1, 2, 3, 5, 6\} = \infty \quad \{1, 2, 3, 5, 7\} = 42$$

$\{1, 2, 3, 5, 7\}$  produces shortest path. Hence set  $s[7]=1$ .

From vertex '7' select the next vertex.

$$\{1, 2, 3, 5, 7, 4\} = \infty \quad \{1, 2, 3, 5, 7, 6\} = \infty$$

Algorithm: shortest path (N, cost, dist, n)



$\{1, 2, 3, 5\}$  produces shortest path. Hence set  $s[5] = 1$   
 From vertex 5 select the next vertex  
 $\{1, 2, 3, 5, 4\} = \infty$      $\{1, 2, 3, 5, 6\} = \infty$      $\{1, 2, 3, 5, 7\} = 4^2$   
 $\{1, 2, 3, 5, 7\}$  produces shortest path. Hence set  $s[7] = 1$   
 From vertex 7, select the next vertex  
 $\{1, 2, 3, 5, 7, 4\} = \infty$      $\{1, 2, 3, 5, 7, 6\} = \infty$

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Algorithm:  
 Algorithm shortestpath( $N, cost, dist, n$ )  
 for  $i := 1$  to  $n$  do  
    $s[i] := false$ ;  
    $dist[i] := cost[V, i]$ ;  
 $s[V] = true, dist[V] = 0.0$ ;  
 for  $num := 2$  to  $n$  do  
   // select  $u$  with minimum in  $dist$   
    $s[u] := true$ ;  
   for (each  $w$  adjacent to  $u$  with  $s[w] := false$ )  
   if ( $dist[w] > dist[u] + cost[u, w]$ ) then  
      $dist[w] := dist[u] + cost[u, w]$ ;  
   }

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Dynamic programming:-  
General Method:

Dynamic programming is applied to optimization problems. This technique is invented by US mathematician Richard Bellman in 1955. In the word dynamic programming, the word programming stands for planning. It is a technique for solving problems with overlapping subprograms. In this method, each subprogram is solved only once, the result of each subproblem is recorded in a table form, which can obtain a solution to the original problem. For each given problem we may get a number of solutions.

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We seek for optimal solution, such an optimal solution becomes the solution to the given problem.

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becomes the solution to the given problem.

### Steps of dynamic Programming:-

Dynamic Programming design involves 4 steps

Step 1: Characterize the structure of optimal solution  
that means develop a mathematical solution that can  
express any solution and subsolution for the given  
problem

Step 2:

Recursively define the value of an optimal solution.

Step 3:

By using bottom-up technique, compute the value of  
optimal solution for that you have to develop a  
recurrence relation that relates a solution  
to its subsolutions using mathematical solution of step 1.  
Step 4: Compute an optimal solution from computed solution.

### Principle of Optimality:-

The DP algorithm obtained the solution using principle  
of optimality.

The principle of optimality states that "In an optimal  
solution sequences of decisions, <sup>(or)</sup> choices each sub-  
sequence must also be optimal"

When it is not possible to apply the principle of optimality  
it is almost impossible to obtain the solution using  
dynamic programming approach

### Applications of Dynamic Programming :-

- 1) Matrix chain multiplication
- 2) 0/1 knapsack problem

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optimal ~~star~~ binary search trees

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When it is not possible to apply the principle of optimality, it is almost impossible to obtain the solution using dynamic programming approach.

**Applications of Dynamic Programming :-**

- 1) Matrix chain multiplication
- 2) 0/1 knapsack problem

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- 3) optimal search binary search trees
- 4) All-pairs shortest path problem
- 5) Travelling sales person problem
- 6) Reliability design

**Differences b/w divide and conquer method and dynamic programming :-**

Divide and Conquer	Dynamic Programming
<ul style="list-style-type: none"> <li>1) The problem is divided into subproblems. These subproblems are solved independently. Finally all the solutions of subproblems are collected together to get the solution to the given problem.</li> <li>2) In this method, duplications or subsolutions are neglected.</li> <li>3) Divide and Conquer method is less efficient because of rework on solutions.</li> <li>4) It uses top down approach of problem solving.</li> <li>5) In this method, splits its input at specific deterministic points usually in the middle.</li> </ul>	<ul style="list-style-type: none"> <li>1) In dynamic programming, many decision sequences are generated &amp; all the overlapping sub-instances are considered.</li> <li>2) In dynamic computing, duplications are completely avoided.</li> <li>3) DP is efficient than the divide &amp; conquer strategy.</li> <li>4) DP uses bottom up approach of problem solving.</li> <li>5) In this method, splits its input at every possible split points rather than at a particular point after trying all the split points it determines which split points is optimal.</li> </ul>



Greedy method	Dynamic programming
<ul style="list-style-type: none"> <li>1) Greedy method is used for obtaining the optimum solution.</li> </ul>	<ul style="list-style-type: none"> <li>1) DP is also used for obtaining the optimum solution.</li> </ul>

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- 2) In Greedy Method, a set of feasible solutions are obtained and picks up the optimum solution from feasible solutions.
- 3) In greedy method, the

- 2) There is no special set of feasible solutions in this method.
- 3) In this method, consider all possible sequences in order

**Greedy method**  
1) Greedy method is used for obtaining the optimum solution

**Dynamic programming**  
1) DP is also used for obtaining the optimum solution

2) In Greedy Method, a set of feasible solutions are obtained and picks up the optimum solution from feasible solutions

3) In greedy method, the optimum selection is without revising previously generated solution

4) In Greedy method, there is no such method of guarantee of getting optimal solution.

2) There is no special set of feasible solutions in this method.

3) In this method, consider all possible sequences in order to obtain the optimum solution

4) It is guaranteed that the DP will generate optimal solution using principle of optimality.

**Principle of optimality:** The principle of optimality states that an optimal sequence of decisions has the property that whatever the initial state and decision are, the remaining decisions must constitute an optimal decision sequence with regard to the state resulting from the first decision.

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### 0/1 knapsack Problem:

In this problem we can't place fractional weights in the knapsack. Here either the item should be put into the bag completely or no item is to be put into the bag. Fraction of item can't be placed in the bag. Consider the weights  $w_1, w_2, \dots, w_n$  and fraction of weights to be put into the bag should be  $x_1, x_2, x_3, \dots, x_n, x_i = 0/1$ . The dynamic programming solution for 0/1 knapsack problem is

$$F_{n-1}(M), F_{n-1}(M - w_n) + P_n$$

source with regard to the state resulting from the first decision.

0/1 Knapsack Problem:

In this problem we can't place fractional weights in the knapsack. Here either the item should be put into the bag completely or no item is to be put into the bag. Fraction of item can't be placed in the bag. Consider the weights  $w_1, w_2, \dots, w_n$  and fraction of weights to be put into the bag should be  $x_1, x_2, x_3, \dots, x_n, x_i = 0/1$ . The dynamic programming solution for 0/1 knapsack problem is

$$F_n(m) = \max [F_{n-1}(m), F_{n-1}(m - w_n) + P_n]$$

When  $x_n = 1$ , then size of the bag is reduced by  $w_n$  which is the weight of  $n^{th}$  item. As we are placing the  $n^{th}$  item we should find the profit for the last item. Similarly we find for  $F_{n-1}(m)$  and so on  $F_1(m)$ .

If we try to remove the  $i^{th}$  item, then the profit of the  $i^{th}$  item reduced from the total profit and weight of  $i^{th}$  item from the total weight which belongs to profit and weight of  $i^{th}$  item.

$S_i^0 = \{0, 0\}$  // Distal condition

$S_i^1 = S^{i-1} + (P_i, w_i)$  // addition operation

$S_i^i = S^{i-1} + S_i^{i-1}$  // merging operation

Eg:  $n=3, (w_1, w_2, w_3) = (2, 3, 4)$   
 $(P_1, P_2, P_3) = (1, 2, 5)$

Sol:  $S^0 = \{0, 0\}$   
 $S_1^1 = S^{i-1} + (P_i, w_i)$   
 $= S^0 + (1, 2)$   
 $= (0, 0) + (1, 2)$   
 $= (1, 2)$

$S^1 = S^{i-1} + S_i^{i-1}$   
 $= S^0 + (1, 2)$   
 $= (0, 0) + (1, 2)$   
 $= \{(0, 0), (1, 2)\}$

$i=2:$   
 $S_2^2 = S^{2-1} + (P_2, w_2)$   
 $= S^1 + (2, 3)$

$$S_i^i = S^{i-1} + (P_i, W_i)$$

merging operation

Eg:  $n=3, (W_1, W_2, W_3) = (2, 3, 4)$   
 $(P_1, P_2, P_3) = (1, 2, 5)$

Sol:  $S^0 = \{0, 0\}$   
 $S_1^1 = S^{1-1} + (P_1, W_1)$   
 $= S^0 + (1, 2)$   
 $= \{0, 0\} + (1, 2)$   
 $= \{1, 2\}$

$S^1 = S^{1-1} + S_1^1$   
 $= S^0 + (1, 2)$   
 $= \{0, 0\} + (1, 2)$   
 $= \{(0, 0), (1, 2)\}$

$S_1^2 = S^{2-1} + (P_2, W_2)$   
 $= S^1 + (2, 3)$   
 $= \{(0, 0), (1, 2)\} + (2, 3)$   
 $= \{(2, 3), (3, 5)\}$

$S^2 = S^{2-1} + S_1^2$   
 $= S^1 + S_1^2$   
 $= \{(0, 0), (1, 2)\} + \{(2, 3), (3, 5)\}$   
 $= \{(0, 0), (1, 2), (2, 3), (3, 5)\}$

$S_1^3 = S^{3-1} + (P_3, W_3)$   
 $= S^2 + (5, 4)$   
 $= \{(0, 0), (1, 2), (2, 3), (3, 5)\} + (5, 4)$   
 $= \{(5, 4), (6, 6), (7, 7), (8, 9)\}$

$S^3 = S^{3-1} + S_1^3$   
 $= S^2 + \{(5, 4), (6, 6), (7, 7), (8, 9)\}$   
 $= \{(0, 0), (1, 2), (2, 3), (3, 5)\} + \{(5, 4), (6, 6), (7, 7), (8, 9)\}$   
 $= \{(0, 0), (1, 2), (2, 3), (3, 5), (5, 4), (6, 6), (7, 7), (8, 9)\}$

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Purging Rule / Dominance Rule:  
 If one of  $S^{i-1}$  and  $S_i^i$  has a pair  $(P_j, W_j)$  and the other has a pair  $(P_k, W_k)$  and  $P_j \leq P_k$  and  $W_j \geq W_k$  then the pair  $(P_j, W_j)$  is discarded.

After applying the purging rule  
 $S^3 = \{(0, 0), (1, 2), (2, 3), (5, 4), (6, 6), (7, 7), (8, 9)\}$

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Now we will check the following condition in order to find the solution  
 If  $(P_i, W_i) \in S^n \& (P_i, W_i) \notin S^{n-1}$  then

Purging Rule / Dominance Rule:

If one of  $S^{i-1}$  and  $S_i^i$  has a pair  $(P_j, W_j)$  and the other has a pair  $(P_k, W_k)$  and  $P_j \leq P_k$  and  $W_j \geq W_k$  then the pair  $(P_j, W_j)$  is discarded

After Applying the purging rule

$$S^3 = \{(0,0), (1,2), (2,3), (5,4), (6,6), (7,7), (8,9)\}$$

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Now we will check the following condition in order to find the solution

If  $(P_i, W_i) \in S^n$  &  $(P_i, W_i) \notin S^{n-1}$  then

$$X_n = 1$$

Otherwise  $X_n = 0$

If  $(6,6) \in S^3$  &  
 $(6,6) \notin S^2 \rightarrow \text{true}$

$$X_3 = 1$$

$(6,6) - (5,4) = (1,2) \in S^2$   
 $(1,2) \notin S^1 \rightarrow \text{false}$

$$X_2 = 0$$

$(1,2) - (0,0) = (1,2) \in S^1$   
 $(1,2) \notin S^0 \rightarrow \text{true}$

$$X_1 = 1$$

$$\therefore X_1 = 1, X_2 = 0, X_3 = 1$$

$$P_i X_i = P_1 X_1 + P_2 X_2 + P_3 X_3 \\ = 1 \cdot 1 + 0 \cdot 0 + 5 \cdot 1 \\ = 1 + 0 + 5 \\ = 6$$

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Algorithm:

Algorithm DXP  $(P, W, n, M)$

$$S^0 \leftarrow \{(0,0)\}$$

for  $i := 1$  to  $n-1$  do

$$S_i^i \leftarrow \{(P_i, W_i) / (P - P_i), (W - W_i) \in S^{i-1} \text{ \& } W_i \leq M\}$$

$$S^i \leftarrow \text{MERGE\_PURGE}(S^{i-1}, S_i^i)$$

repeat

$$(P_x, W_x) \leftarrow \text{last tuple in } S^{n-1}$$

$$(P_{n+1}, W_{n+1}) \leftarrow (P_x + P_n, W_x + W_n)$$

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where  $w_x$  is the largest

$w$  in any tuple in  $S^{n-1}$  such that

$$w + w_n \leq m$$

If  $P_x > P_y$  then

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for  $i := 1$  to  $n-1$  do  
 $S_i \leftarrow \{(P_i, w_i) \mid (P, w) \in S^{i-1} \ \& \ w_i \leq M\}$   
 $S_i \leftarrow \text{MERGE\_PURGE}(S^{i-1}, S_i)$   
 repeat  
 $(P_x, w_x) \leftarrow$  last tuple in  $S^{n-1}$   
 $(P, w) \leftarrow (P_x + P_n, w_x + w_n)$

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where  $w_i$  is the largest  $w$  in any tuple in  $S^{i-1}$  such that  
 $w + w_n \leq M$   
 if  $P_x > P_y$  then  
 $X_n \leftarrow 0$ ;  
 else  
 $X_n \leftarrow 1$   
 end if  
 end OKP

All pairs shortest path problem:  
 When a weighted graph represented by its weight Matrix 'w' then the objective is to find the distance b/w every pair of node.

Stepwise will decompose the given problem into subproblems  
 Let  $A^k_{(i,j)}$  be the length of shortest path from node 'i' to node 'j' such that the label for intermediary node will be  $\leq k$ . We will compute  $A^k$  for  $k=1, 2, \dots, n$  for  $n$  nodes

Step 1: Any subpath of shortest path is a shortest path b/w the  $n$  nodes. Divide the paths from  $i^{\text{th}}$  node to  $j^{\text{th}}$  node for every intermediary node, say 'k' then there arises 2 cases

Case(i): Path going from 'i' to 'j' through 'k'  
 Case(ii): Path which is not going through 'k'  
 Select only the shortest path from these two cases

Step 3: The shortest path can be computed using bottom-up computation method, here follows recursive method  
 Initially  
 $A^0 = w[i, j]$   
 Next Computations

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$A^k_{(i,j)} = \min \{ A^{k-1}_{(i,j)}, A^{k-1}_{(i,k)} + A^{k-1}_{(k,j)} \}$   
 Eg:  
 Calculate all pairs shortest path for the following graph

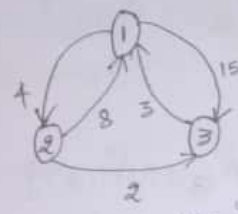
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Step 3: The shortest path can be computed using bottom-up computation method, here follows recursive method initially  
 $A^0 = W[i, j]$   
 Next Computations

$$A^k(i, j) = \min \{ A^{k-1}(i, j), A^{k-1}(i, k) + A^{k-1}(k, j) \}$$

Eg: Calculate all pairs shortest path for the following graph



Sol: Initially the weight matrix 'W' is

$$A^0 = \begin{matrix} & \begin{matrix} 1 & 2 & 3 \end{matrix} \\ \begin{matrix} 1 \\ 2 \\ 3 \end{matrix} & \begin{bmatrix} 0 & 4 & 15 \\ 8 & 0 & 2 \\ 3 & 3 & 0 \end{bmatrix} \end{matrix}$$

To generate the next matrices the equation is

$$A^k(i, j) = \min \{ A^{k-1}(i, j), A^{k-1}(i, k) + A^{k-1}(k, j) \}$$

Now  $k=1$

$$A^1(1,1) = \min \{ A^0(1,1), A^0(1,1) + A^0(1,1) \} = \min \{ 0, 0 \} = 0$$

$$A^1(1,2) = \min \{ A^0(1,2), A^0(1,3) + A^0(3,2) \} = \min \{ 4, 8+4 \} = 4$$

$$A^1(1,3) = \min \{ A^0(1,3), A^0(1,2) + A^0(2,3) \} = \min \{ 15, 4+2 \} = 6$$

$$A^1(2,1) = \min \{ A^0(2,1), A^0(2,3) + A^0(3,1) \} = \min \{ 8, 2+3 \} = 5$$

$$A^1(2,2) = \min \{ A^0(2,2), A^0(2,1) + A^0(1,2) \} = \min \{ 0, 8+4 \} = 0$$

$$A^1(3,3) = \min \{ A^0(3,3), A^0(3,1) + A^0(1,3) \} = \min \{ 0, 3+15 \} = 0$$

here

$$A^1_{(1,2)} = \min \{A^0_{(1,2)}, A^0_{(2,1)} + A^0_{(1,2)}\}$$

$$= \min \{4, 8+4\}$$

$$= 4$$

bottom-up

$$A^1_{(1,3)} = \min \{A^0_{(1,3)}, A^0_{(2,1)} + A^0_{(1,3)}\}$$

$$= \min \{5, 8+5\}$$

$$= 5$$

$$A^1_{(2,1)} = \min \{A^0_{(2,1)}, A^0_{(2,1)} + A^0_{(1,1)}\}$$

$$= \min \{8, 8+0\}$$

$$= 8$$

$$A^1_{(2,2)} = \min \{A^0_{(2,2)}, A^0_{(2,1)} + A^0_{(1,2)}\} = \min \{0, 8+4\}$$

$$= 0$$

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$$A^1_{(3,3)} = \min \{A^0_{(3,3)}, A^0_{(3,1)} + A^0_{(1,3)}\}$$

$$= \min \{7, 3+5\}$$

$$= 8$$

$$A^1_{(3,1)} = \min \{A^0_{(3,1)}, A^0_{(3,1)} + A^0_{(1,1)}\}$$

$$= \min \{3, 3+0\}$$

$$= 3$$

$$A^1_{(3,2)} = \min \{A^0_{(3,2)}, A^0_{(3,1)} + A^0_{(1,2)}\}$$

$$= \min \{6, 3+4\}$$

$$= 7$$

$$A^1_{(3,3)} = \min \{A^0_{(3,3)}, A^0_{(3,1)} + A^0_{(1,3)}\}$$

$$= \min \{0, 3+5\}$$

$$= 0$$

$$A^1 = \begin{matrix} & \begin{matrix} 1 & 2 & 3 \end{matrix} \\ \begin{matrix} 1 \\ 2 \\ 3 \end{matrix} & \begin{bmatrix} 0 & 4 & 5 \\ 8 & 0 & 2 \\ 3 & 7 & 0 \end{bmatrix} \end{matrix}$$

$k=2$ :

$$A^2_{(1,1)} = \min \{A^1_{(1,1)}, A^1_{(1,2)} + A^1_{(2,1)}\}$$

$$= \min \{0, 4+8\}$$

$$= 0$$

$$A^2_{(1,2)} = \min \{A^1_{(1,2)}, A^1_{(1,2)} + A^1_{(2,2)}\}$$

$$= \min \{4, 4+0\}$$

$$= 4$$

$$A^2_{(1,3)} = \min \{A^1_{(1,3)}, A^1_{(1,2)} + A^1_{(2,3)}\}$$

$$= \min \{5, 4+2\}$$

$$= 6$$

$$A^2_{(2,1)} = \min \{A^1_{(2,1)}, A^1_{(2,2)} + A^1_{(2,1)}\}$$

$$= \min \{8, 0+8\}$$

$$= 8$$

$$A^2_{(2,2)} = 0$$

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$$A^2_{(3,3)} = \min \{A^1_{(3,3)}, A^1_{(3,2)} + A^1_{(2,3)}\}$$

$$= \min \{0, 7+2\}$$

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$$A^2_{(1,1)} = \min \{A^1_{(1,1)}, A^1_{(1,2)} + A^1_{(2,1)}\}$$

$$= \min \{0, 4+8\}$$

$$= 0$$

$$A^2_{(1,2)} = \min \{A^1_{(1,2)}, A^1_{(1,3)} + A^1_{(3,2)}\}$$

$$= \min \{4, 4+0\}$$

$$= 4$$

$$A^2_{(1,3)} = \min \{A^1_{(1,3)}, A^1_{(1,2)} + A^1_{(2,3)}\}$$

$$= \min \{15, 4+2\}$$

$$= 6$$

$$A^2_{(2,1)} = \min \{A^1_{(2,1)}, A^1_{(2,2)} + A^1_{(2,1)}\}$$

$$= \min \{8, 0+8\}$$

$$= 8$$

$$A^2_{(2,2)} = 0$$

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$$A^3_{(2,3)} = \min \{A^2_{(2,3)}, A^2_{(2,2)} + A^2_{(2,3)}\}$$

$$= \min \{2, 0+2\}$$

$$= 2$$

$$A^3_{(3,1)} = \min \{A^2_{(3,1)}, A^2_{(3,2)} + A^2_{(3,1)}\}$$

$$= \min \{3, 7+8\}$$

$$= 3$$

$$A^3_{(3,2)} = \min \{A^2_{(3,2)}, A^2_{(3,2)} + A^2_{(3,2)}\}$$

$$= \min \{7, 7+0\}$$

$$= 7$$

$$A^3 = \begin{matrix} & \begin{matrix} 1 & 2 & 3 \end{matrix} \\ \begin{matrix} 1 \\ 2 \\ 3 \end{matrix} & \begin{bmatrix} 0 & 4 & 6 \\ 8 & 0 & 2 \\ 3 & 7 & 0 \end{bmatrix} \end{matrix}$$

k=3:

$$A^3_{(3,1)} = \min \{A^2_{(3,1)}, A^2_{(3,3)} + A^2_{(3,1)}\}$$

$$= \min \{8, 2+3\}$$

$$= 5$$

$$A^3_{(1,2)} = \min \{A^2_{(1,2)}, A^2_{(1,3)} + A^2_{(3,2)}\}$$

$$= \min \{4, 6+7\}$$

$$= 4$$

$$A^3_{(1,3)} = \min \{A^2_{(1,3)}, A^2_{(1,2)} + A^2_{(2,3)}\}$$

$$= \min \{6, 6+0\}$$

$$= 6$$

$$A^3_{(2,3)} = \min \{A^2_{(2,3)}, A^2_{(2,2)} + A^2_{(3,3)}\}$$

$$= \min \{2, 2+0\}$$

$$= 2$$

$$A^3_{(3,1)} = \min \{A^2_{(3,1)}, A^2_{(3,3)} + A^2_{(3,1)}\}$$

$$= \min \{3, 0+3\}$$

$$= 3$$

$$A^3_{(3,2)} = \min \{A^2_{(3,2)}, A^2_{(3,3)} + A^2_{(3,2)}\}$$

$$= \min \{7, 0+7\} = 7$$

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$$= \min \{4, 6+7\}$$

$$= 4$$

$$A^3(1,3) = \min \{A^2(1,3), A^2(1,3) + A^2(3,3)\}$$

$$= \min \{6, 6+0\}$$

$$= 6$$

$$A^3(2,3) = \min \{A^2(2,3), A^2(2,3) + A^2(3,3)\}$$

$$= \min \{2, 2+0\}$$

$$= 2$$

$$A^3(3,1) = \min \{A^2(3,1), A^2(3,3) + A^2(3,1)\}$$

$$= \min \{3, 0+3\}$$

$$= 3$$

$$A^3(3,2) = \min \{A^2(3,2), A^2(3,3) + A^2(3,2)\}$$

$$= \min \{7, 0+7\} = 7$$

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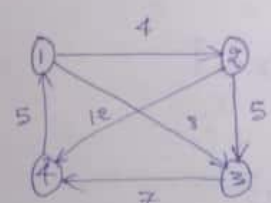
$A^3 = \begin{matrix} & \begin{matrix} 1 & 2 & 3 \end{matrix} \\ \begin{matrix} 1 \\ 2 \\ 3 \end{matrix} & \begin{bmatrix} 0 & 4 & 6 \\ 5 & 0 & 2 \\ 3 & 7 & 0 \end{bmatrix} \end{matrix}$

$A^3$  gives shortest distance between any pair of vertices

Algorithm:  
 Algorithm Allpairs (Cost, A, n)

```

for i:=1 to n do
  for j:=1 to n do
    A[i,j] = Cost[i,j];
  for k:=1 to n do
    for i:=1 to n do
      for j:=1 to n do
        A[i,j] = min(A[i,j], A[i,k] + A[k,j]);
  }
  }
  }
  
```

2) 

Initially the weight matrix is

$$A^0 = \begin{matrix} & \begin{matrix} 1 & 2 & 3 & 4 \end{matrix} \\ \begin{matrix} 1 \\ 2 \\ 3 \\ 4 \end{matrix} & \begin{bmatrix} 0 & 4 & 12 & 5 \\ 5 & 0 & 5 & 12 \\ 3 & 7 & 0 & 7 \\ 5 & 7 & 7 & 0 \end{bmatrix} \end{matrix}$$

To generate the next matrices the equation is

$$A^k(i,j) = \min$$

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Multistage Graphs:  
 A graph  $G=(V,E)$  is a directed graph in

2	6	0	5	12
3	6	6	0	7
4	5	3	4	0

To generate the next matrices the equation is  
 $A^k(i,j) = \min$

### Multistage Graphs:

A multistage graph  $G = (V, E)$  is a directed graph in which the vertices are partitioned into  $k \geq 2$  disjoint sets  $V_i, 1 \leq i \leq k$ . In addition, if  $\langle u, v \rangle$  is an edge in  $E$ , then  $u \in V_i$  and  $v \in V_{i+1}$  for some  $i, 1 \leq i < k$ .

Let the vertex 's' is the source and 't' the sink. Let  $c(i,j)$  be the cost of edge  $\langle i,j \rangle$ . The cost of a path from 's' to 't' is the sum of the costs of the edges on the path. The multistage graph problem is to find a minimum cost path from 's' to 't'. Each set  $V_i$  defines a stage in the graph. Because of the constraints on  $E$ , every path from 's' to 't' starts in stage 1, goes to stage 2, then to stage 3, then to stage 4, and so on, and eventually terminates in stage k.

A dynamic programming formulation for k-stage graph problem is obtained by first noticing that every s to t path is the result of a sequence of k-2 decisions. The  $i^{th}$  decision involves determining which vertex in  $V_{i+1}, 1 \leq i \leq k-2$ , is to be on the path. Let  $c(i,j)$  be the cost of the path from source to destination.

To solve the multistage graph we follow 2 approaches

- 1) forward approach
- 2) backward approach

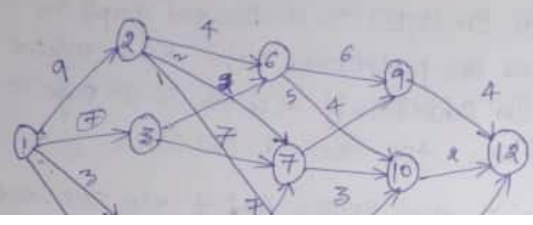
By using forward approach we obtain  

$$Fcost(i,j) = \min_{\substack{l \in V_{i+1} \\ \langle i,l \rangle \in E}} \{ c(i,l) + Fcost(i+1,l) \}$$

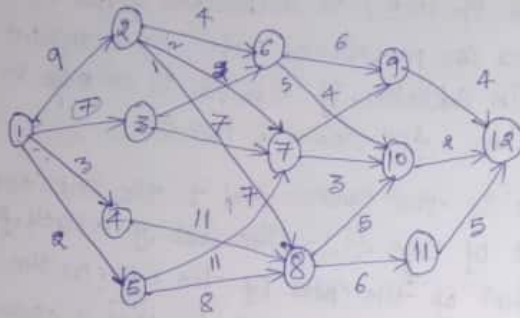
By using backward approach we obtain  

$$Bcost(i,j) = \min_{l \in V_{i-1}} \{ Bcost(i-1,l) + c(l,j) \}$$

### Example:



Example:



Using Forward approach:-

We use the following equation to find the minimum cost from  $s$  to  $t$

$$\text{Cost}(i, j) = \min \{ C(i, \lambda) + \text{Cost}(\lambda, j) \}$$

$$\lambda \in V_{i+1}$$

$$\langle i, \lambda \rangle \in E$$

Node 1:  $i=1, j=1$

$$\text{Cost}(1, 1) = \min \{ C(1, 2) + \text{Cost}(2, 1), C(1, 3) + \text{Cost}(3, 1), C(1, 4) + \text{Cost}(4, 1), C(1, 5) + \text{Cost}(5, 1) \}$$

$$= \min \{ 9 + \text{Cost}(2, 1), 7 + \text{Cost}(3, 1), 3 + \text{Cost}(4, 1), 2 + \text{Cost}(5, 1) \}$$

$$\text{Cost}(2, 2) = \min \{ C(2, 6) + \text{Cost}(6, 2), C(2, 7) + \text{Cost}(7, 2), C(2, 8) + \text{Cost}(8, 2) \}$$

$$= \min \{ 4 + \text{Cost}(6, 2), 2 + \text{Cost}(7, 2), 11 + \text{Cost}(8, 2) \}$$

$$\text{Cost}(3, 6) = \min \{ C(3, 9) + \text{Cost}(9, 6), C(3, 10) + \text{Cost}(10, 6) \}$$

$$= \min \{ 2 + \text{Cost}(9, 6), 7 + \text{Cost}(10, 6) \}$$

$$\text{Cost}(4, 9) = \min \{ C(4, 12) + \text{Cost}(12, 9) \} = \min \{ 4 + 0 \} = 4$$

$$\text{Cost}(4, 10) = \min \{ C(10, 12) + \text{Cost}(12, 10) \} = \min \{ 2 + 0 \} = 2$$

$$\text{Cost}(3, 6) = \min \{ 6 + 4, 5 + 2 \} = 7$$

$$\text{Cost}(3, 7) = \min \{ C(7, 9) + \text{Cost}(9, 7), C(7, 10) + \text{Cost}(10, 7) \}$$

$$= \min \{ 4 + \text{Cost}(9, 7), 3 + \text{Cost}(10, 7) \}$$

$$= \min \{ 4 + 4, 3 + 2 \} = 5$$

$$\text{Cost}(4, 7) = \min \{ C(7, 9) + \text{Cost}(9, 7), C(7, 10) + \text{Cost}(10, 7) \}$$

$$= \min \{ 4 + \text{Cost}(9, 7) \}$$

$$\text{Cost}(3, 8) = \min \{ C(8, 10) + \text{Cost}(10, 8), C(8, 11) + \text{Cost}(11, 8) \}$$

$$= \min \{ 5 + \text{Cost}(10, 8), 6 + \text{Cost}(11, 8) \}$$

$$= \min \{ 5 + 2, 6 + \text{Cost}(11, 8) \}$$

$$\text{Cost}(4, 11) = \min \{ C(11, 12) + \text{Cost}(12, 11) \}$$

$$= \min \{ 5 + 0 \}$$

$$\text{Cost}(3,6) = \min \{C(6,9) + \text{Cost}(4,9), C(6,10) + \text{Cost}(4,10)\}$$

$$= \min \{6 + \text{Cost}(4,9), 5 + \text{Cost}(4,10)\}$$

$$\text{Cost}(4,9) = \min \{C(9,12) + \text{Cost}(5,12)\} = \min \{4 + 0\} = 4$$

$$\text{Cost}(4,10) = \min \{C(10,12) + \text{Cost}(5,12)\} = \min \{2 + 0\} = 2$$

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$$\text{Cost}(3,6) = \min \{6 + 4, 5 + 2\} = 7$$

$$\text{Cost}(3,7) = \min \{C(7,9) + \text{Cost}(4,9), C(7,10) + \text{Cost}(4,10)\}$$

$$= \min \{4 + \text{Cost}(4,9), 3 + \text{Cost}(4,10)\}$$

$$= \min \{4 + 4, 3 + 2\} = 5$$

$$\text{Cost}(4,7) = \min \{C(7,9) + \text{Cost}(5,9), C(7,10) + \text{Cost}(5,10)\}$$

$$= \min \{4 + \text{Cost}(5,9)\}$$

$$\text{Cost}(3,8) = \min \{C(8,10) + \text{Cost}(4,10), C(8,11) + \text{Cost}(4,11)\}$$

$$= \min \{5 + \text{Cost}(4,10), 6 + \text{Cost}(4,11)\}$$

$$= \min \{5 + 2, 6 + \text{Cost}(4,11)\}$$

$$\text{Cost}(4,11) = \min \{C(11,12) + \text{Cost}(5,12)\}$$

$$= \min \{5 + 0\}$$

$$= 5$$

$$\text{Cost}(3,8) = \min \{7, 6 + 5\}$$

$$= 7$$

$$\text{Cost}(2,2) = \min \{4 + 7, 2 + 5, 1 + 7\}$$

$$= \min \{11, 7, 8\}$$

$$= 7$$

$$\text{Cost}(1,1) = \min \{9 + 7, 2 + \text{Cost}(2,3), 3 + \text{Cost}(2,4), 2 + \text{Cost}(2,5)\}$$

the path ① — ② — ⑦ — ⑩ — ⑫

(Or)  
① — ⑤ — ⑥ — ⑩ — ⑫

The minimum cost path is 16

Using Backward approach:

We use the following equation to find the minimum cost from  $s$  to  $t$

$$\text{Cost}(i,j) = \min_{k \in V_{i-1}} \{B\text{Cost}(i-1,k) + C(k,j)\}$$

$$k \in V_{i-1}$$

$$\langle k,j \rangle \in E$$

Node 1:  $i=5, j=12$

$$\text{Cost}(5,12) = \min \{B\text{Cost}(4,9) + C(9,12), B\text{Cost}(4,10) + C(10,12), B\text{Cost}(4,11) + C(11,12)\}$$

$$= \min \{B\text{Cost}(4,9) + 4, B\text{Cost}(4,10) + 2, B\text{Cost}(4,11) + 5\}$$

$$B\text{Cost}(4,9) = \min \{B\text{Cost}(3,6) + C(6,9), B\text{Cost}(3,7) + C(7,9)\}$$

$$= \min \{B\text{Cost}(3,6) + 6, B\text{Cost}(3,7) + 4\}$$

$$B\text{Cost}(3,6) = \min \{B\text{Cost}(2,2) + C(2,6), B\text{Cost}(2,3) + C(3,6)\}$$

$$= \min \{B\text{Cost}(2,2) + 4, B\text{Cost}(2,3) + 2\}$$

$$B\text{Cost}(2,2) = \min \{B\text{Cost}(1,1) + C(1,2)\} = \min \{0 + 9\} = 9$$

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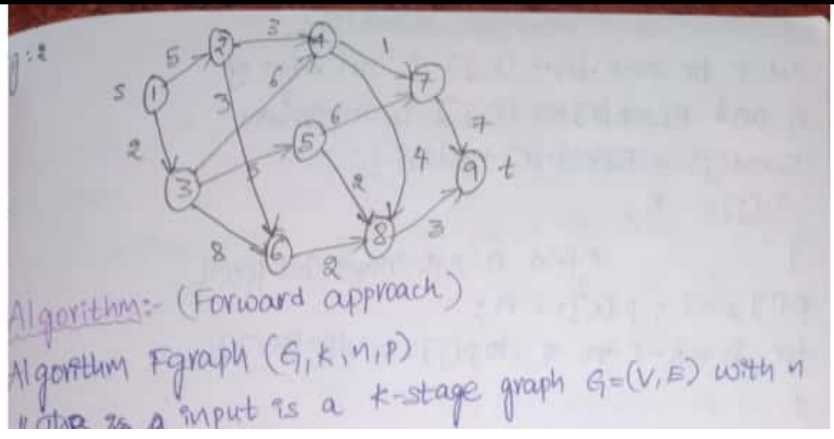
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$Bcost(i,j) = \min \{ Bcost(i-1, x) + c(x, j) \mid x \in V_{i-1} \}$   
 $\langle i, j \rangle \in E$   
 Node 1:  $i=5, j=12$   
 $Bcost(5,12) = \min \{ Bcost(4,9) + c(9,12), Bcost(4,10) + c(10,12), Bcost(4,11) + c(11,12) \}$

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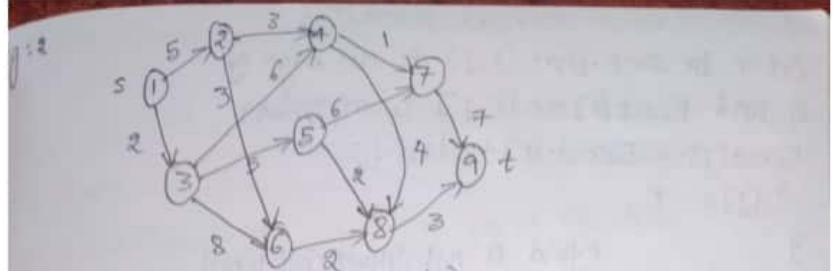
$= \min \{ Bcost(4,9) + 4, Bcost(4,10) + 7, Bcost(4,11) + 5 \}$   
 $Bcost(4,9) = \min \{ Bcost(3,6) + c(6,9), Bcost(3,7) + c(7,9) \}$   
 $= \min \{ Bcost(3,6) + 6, Bcost(3,7) + 4 \}$   
 $Bcost(3,6) = \min \{ Bcost(2,2) + c(2,6), Bcost(2,3) + c(3,6) \}$   
 $= \min \{ Bcost(2,2) + 4, Bcost(2,3) + 2 \}$   
 $Bcost(2,2) = \min \{ Bcost(1,1) + c(1,2) \} = \min \{ 0 + 9 \} = 9$   
 $Bcost(2,3) = \min \{ Bcost(1,1) + c(1,3) \} = \min \{ 0 + 7 \} = 7$   
 $Bcost(3,6) = \min \{ 9 + 4, 7 + 2 \} = \min \{ 13, 9 \} = 9$   
 $Bcost(3,7) = \min \{ Bcost(2,2) + c(2,7), Bcost(2,3) + c(3,7), Bcost(2,5) + c(5,7) \}$   
 $Bcost(2,5) = \min \{ Bcost(1,1) + c(1,5) \} = 9$   
 $Bcost(3,7) = \min \{ 9 + 2, 7 + 2, 9 + 11 \} = \min \{ 11, 14, 20 \} = 11$   
 $Bcost(4,9) = \min \{ 9 + 6, 11 + 4 \} = \min \{ 15, 15 \} = 15$   
 $Bcost(4,10) = \min \{ Bcost(3,6) + c(6,10), Bcost(3,7) + c(7,10), Bcost(3,8) + c(8,10) \}$   
 $Bcost(3,8) = \min \{ Bcost(2,2) + c(2,8), Bcost(2,4) + c(4,8), Bcost(2,5) + c(5,8) \}$   
 $Bcost(2,4) = \min \{ Bcost(1,1) + c(1,4) \} = 3$   
 $Bcost(3,8) = \min \{ 9 + 1, 3 + 11, 9 + 8 \} = \min \{ 10, 14, 17 \} = 10$   
 $Bcost(4,10) = \min \{ 9 + 5, 11 + 3, 10 + 5 \} = \min \{ 14, 14, 15 \} = 14$   
 $Bcost(4,11) = \min \{ Bcost(3,8) + c(8,11) \}$   
 $Bcost(5,12) = \min \{ 15 + 4, 14 + 2, 16 + 5 \} = \min \{ 19, 16, 21 \} = 16$

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$Bcost(5|12) = \min \{5+4, 1+2, 16+5\} = \min \{9, 3, 21\} = 3$



Algorithm:- (Forward approach)

Algorithm Fgraph ( $G, k, n, p$ )

// This is a input is a  $k$ -stage graph  $G=(V, E)$  with  $n$  vertices  
 // indexed in order or stages,  $E$  is a set of edges and  $C[i, j]$   
 // is the cost of  $(i, j)$ .  $P[k]$  is a minimum cost path

```

    {
    cost[n] := 0.0;
    for j := n-1 to 1 step -1 do
        {
        // compute cost[j]
        let v be a vertex such that [j, v] is an edge
        of  $G$  and  $C[j, v] + cost[v]$  is minimum;
        cost[j] :=  $C[j, v] + cost[v]$ ;
        d[j] := v;
        }
    P[1] := 1; P[k] := n; // find a minimum cost path
    for j := 2 to k-1 do P[j] := d[P[j-1]];
    }
    
```

Algorithm for backward approach:-

Algorithm Bgraph ( $G, k, n, p$ )

// same function as Fgraph  
 {
 Bcost[1] := 0.0;
 for j := 2 to n do

```

    {
    // compute Bcost[j]
    let v be such that (v, j) is an edge of
     $G$  and  $Bcost[v] + C[v, j]$  is minimum;
    Bcost[j] :=  $Bcost[v] + C[v, j]$ ;
    D[j] := v;
    }
    // find a minimum cost path
    P[n] := 1; P[k] := n;
    for j := k-1 to 1 do P[j] := d[P[j+1]];
    
```



Algorithm for backward approach:-  
 Algorithm Bgraph (G, k, n, p)  
 // same function as Fgraph  
 $Bcost[1] := 0.0;$   
 for  $j := 2$  to  $n$  do

// compute Bcost[j] is  
 let  $r$  be such that  $(r, j)$  is an edge of  
 $G$  and  $Bcost[r] + c[r, j]$  is minimum;  
 $Bcost[j] := Bcost[r] + c[r, j];$   
 $D[j] := r;$   
 }  
 // find a minimum cost path  
 $p[k] := n;$   
 for  $j := k-1$  to  $x$  do  $p[j] := d[p[j+1]];$   
 }

Complexity Analysis:

The complexity analysis of the algorithm is fairly straightforward. Here, if  $G$  has  $|E|$  edges, then the time for the first for loop is  $\phi V E$ .

### Traveling sales Person Problem:-

In this problem the sales person should start at a starting point and travel all the places and come back to the starting point. In this problem we need to minimize the traveling cost.

Eg: Suppose we have to route a postal van to pick up the mails from the mail boxes located at 'n' different sites.  $n+1$  vertices graph may be used to represent the situation, one vertex represents the post office from which the postal van starts and to which it must return. The route taken by the postal van should have minimum length (or) cost. To solve this problem the following equations are used.

$$1) g(i, \phi) = C_{i1}, 1 \leq i \leq n$$

$$2) g(i, s) = \min_{j \in s} \{ C_{ij} + g(j, s - \{j\}) \}$$

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$\min_{j \in s}$  is considered as the intermediate Node.

$g(j, s - \{j\})$  means 'j' is already traversed so next we have to <sup>traverse</sup>  $s - \{j\}$  with 'j' as starting point.

Eg: 1) Consider the following graph to solve travelling sales person problem

	1	2	3	4
1	0	10	15	20

minimise the travelling cost.  
 Eg: Suppose we have to route a postal van to pick up

Situation, one vertex represents the post office from which the postal van starts and to which it must return. The route taken by the postal van should have minimum length (or) cost. To solve this problem the following equations are used.

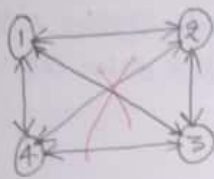
$$1) g(i, \phi) = C_{i1}, 1 \leq i \leq n$$

$$2) g(i, s) = \min_{j \in s} \{C_{ij} + g(j, s - \{j\})\}$$

$\min_{j \in s}$  is considered as the intermediate Node.

$\{g(j, s - \{j\})\}$  means 'j' is already traversed so next we have to <sup>traverse</sup>  $s - \{j\}$  with 'j' as starting point.

Eg: Consider the following graph to solve travelling sales person problem



	1	2	3	4
1	0	10	15	20
2	5	0	9	10
3	6	13	0	12
4	8	8	9	0

Sol:  $g(i, \phi) = C_{i1}, 1 \leq i \leq n$

$$g(1, \phi) = C_{11} = 0$$

$$g(2, \phi) = C_{21} = 5$$

$$g(3, \phi) = C_{31} = 6$$

$$g(4, \phi) = C_{41} = 8$$

$$g(i, s) = \min_{j \in s} \{C_{ij} + g(j, s - \{j\})\}$$

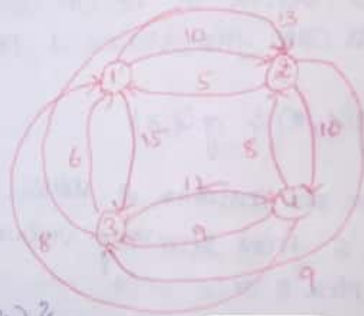
$$|s| = 1$$

set contains only 1 element and here we make an assumption that the sales person starts at vertex 1 from that vertex, he can move to vertex 'j' if, he visits vertex 'j' from that vertex he can visit next either vertex 3 or vertex 4 since  $g(2,3) < g(2,4)$

$$g(2,3) = \min_{j \in s} \{C_{23} + g(3, \phi)\} = \min_{j \in s} \{9 + 6\} = 15$$

$$g(2,4) = \min_{j \in s} \{C_{24} + g(4, \phi)\} = \min_{j \in s} \{10 + 8\} = 18$$

$$g(3,2) = \min_{j \in s} \{C_{32} + g(2, \phi)\} = \min_{j \in s} \{13 + 5\} = 18$$



$$g(2,4) = \min_{j \in S} \{C_{24} + g(4, \phi)\} = \min_{j \in S} \{10 + 8\} = 18$$

$$g(3,2) = \min_{j \in S} \{C_{32} + g(2, \phi)\} = \min_{j \in S} \{13 + 5\} = 18$$

$$g(3,4) = \min_{j \in S} \{C_{34} + g(4, \phi)\} = \min_{j \in S} \{12 + 8\} = 20$$

$$g(4,2) = \min_{j \in S} \{C_{42} + g(2, \phi)\} = \min_{j \in S} \{8 + 5\} = 13$$

$$g(4,3) = \min_{j \in S} \{C_{43} + g(3, \phi)\} = \min_{j \in S} \{9 + 6\} = 15$$

$$|S| = 2$$

From vertex '1' next he can visit vertex '3' instead of vertex '2' in this case from vertex '3' he can visit either vertex '2' or '4'

$$\therefore g(3,2) \& g(3,4)$$

From vertex '1' he can visit vertex '4' instead of '3'. In this case from vertex '4' next he can visit either '2' or '3'

$$\therefore g(4,2) \& g(4,3)$$

Here set contains 2 values. So we can place two vertices in 'S' from starting vertex '1'. Next he can visit either vertex '2' or '3' or '4'

If he visits vertex '2' then from that vertex he can visit either vertex '3' or vertex '4'. So  $g(2, \{3,4\})$

If he visits vertex '3' from that vertex he can visit either '2' or '4'. So  $g(3, \{2,4\})$

If he visits vertex '4' from that vertex he can visit either vertex '2' or '3'. So  $g(4, \{2,3\})$

$$g(2, \{3,4\}) = \min_{j \in S} \{C_{23} + g(3,4), C_{24} + g(4,3)\}$$

$$= \min_{j \in S} \{9 + 20, 10 + 15\} = 25$$

$$g(3, \{2,4\}) = \min_{j \in S} \{C_{32} + g(2,4), C_{34} + g(4,2)\}$$

$$= \min_{j \in S} \{13 + 18, 12 + 13\} = 25$$

$$g(4, \{2,3\}) = \min_{j \in S} \{C_{42} + g(2,3), C_{43} + g(3,2)\}$$

$$= \min_{j \in S} \{8 + 15, 9 + 18\}$$

$$= 23$$

$$|S| = 3$$

Now the set contains 3 elements after starting from vertex 1, next he can visit either vertex '2' or '3' or '4'. So determine

$$g(2, \{3, 4\}) = \min_{j \in S} \{C_{23} + g(3, 4), C_{24} + g(4, 3)\}$$

$$= \min_{j \in S} \{9 + 20, 10 + 15\} = 25$$

$$g(3, \{2, 4\}) = \min_{j \in S} \{C_{32} + g(2, 4), C_{34} + g(4, 2)\}$$

$$= \min_{j \in S} \{13 + 18, 12 + 13\} = 25$$

$$g(4, \{2, 3\}) = \min_{j \in S} \{C_{42} + g(2, 3), C_{43} + g(3, 2)\}$$

$$= \min_{j \in S} \{8 + 15, 9 + 18\}$$

$$= 23$$

$|S| = 3$

Now the set contains 3 elements after starting from vertex 1, next he can visit either vertex '2' or '3' or '4'. So determine

$$g(1, \{2, 3, 4\}) = \min_{j \in S} \{C_{12} + g(2, \{3, 4\}), C_{13} + g(3, \{2, 4\}), C_{14} + g(4, \{2, 3\})\}$$

$$= \min_{j \in S} \{10 + 25, 15 + 25, 20 + 23\}$$

$$= \min_{j \in S} \{35, 40, 43\}$$

$$= 35$$

$$1 \rightarrow 2 \rightarrow 4 \rightarrow 3 \rightarrow 1 = 35$$

### Optimal binary search trees:-

Let us assume that the given set of identifiers is  $\{a_1, \dots, a_n\}$  with  $a_1 < a_2 < \dots < a_n$ . Let  $p(i)$  be the probability with which we search for  $a_i$ . Let  $q(i)$  be the probability that the identifier  $x$  being searched for is such that  $a_i < x < a_{i+1}$ ,  $0 \leq i \leq n$  (assume  $a_0 = -\infty$  and  $a_{n+1} = +\infty$ ). We have to arrange the identifiers in a binary search tree in a way that minimizes the expected total access time.

In a binary search tree, the number of comparisons

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needed to access an element at depth  $d$  is  $d+1$ . If  $a_i$  is placed at depth  $d_i$ , then we want to minimize  $\sum_{i=1}^n p_i (d_i + 1)$ . Let  $P(i)$  be the probability with which we shall be searching for  $a_i$ . Let  $Q(i)$  be the probability of an un-successful search. Every internal node represents a point where a un-successful search may terminate. The expected cost contribution for the internal node for

and  $a_{n+1} = +\infty$ ). We have to arrange the  
 in a binary search tree in a way that minimizes the  
 expected total access time.

In a binary search tree, the number of comparisons

needed to access an element at depth  $d$  is  $d+1$ .  
 If  $a_i$  is placed at depth  $d_i$ , then we want to minimize  
 $\sum_{i=1}^n P_i (d_i + 1)$

Let  $P(i)$  be the probability with which we shall be  
 searching for  $a_i$ . Let  $Q(i)$  be the probability of an  
 un-successful search. Every internal node represents a  
 point where a un-successful search may terminate.

The expected cost contribution for the internal node for  
 $a_i$  is:

$$P(i) * \text{level}(a_i)$$

Unsuccessful search terminate with  $D=0$ . Hence the  
 cost contribution for this node is:

$$Q(i) * \text{level}((E_i) - 1)$$

The expected cost of binary search tree is:

$$\sum_{i=1}^n P(i) * \text{level}(a_i) + \sum_{i=0}^n Q(i) * \text{level}((E_i) - 1)$$

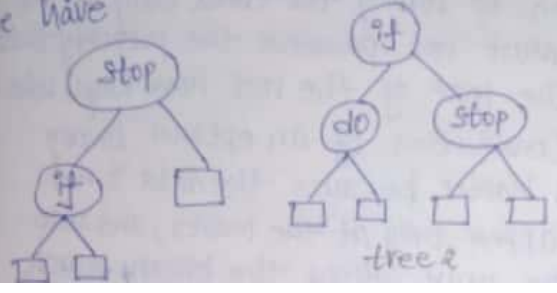
Given a fixed set of identifiers, we wish to create a  
 binary search tree organization. We may expect  
 different binary search trees for the same identifier  
 set to have different performance characteristics.

The computation of each of these  $C(i, j)$ 's requires us  
 to find the minimum of  $m$  quantities. Hence each  
 such  $C(i, j)$  can be computed in time  $O(m)$ . The total  
 time for all  $C(i, j)$ 's with  $j-1 = m$  is therefore  
 $O(nm - m^2)$

The total time to evaluate all the  $C(i, j)$ 's and  $r(i, j)$ 's  
 is therefore:

$$\leq (nm - m^2) = O(n^3)$$

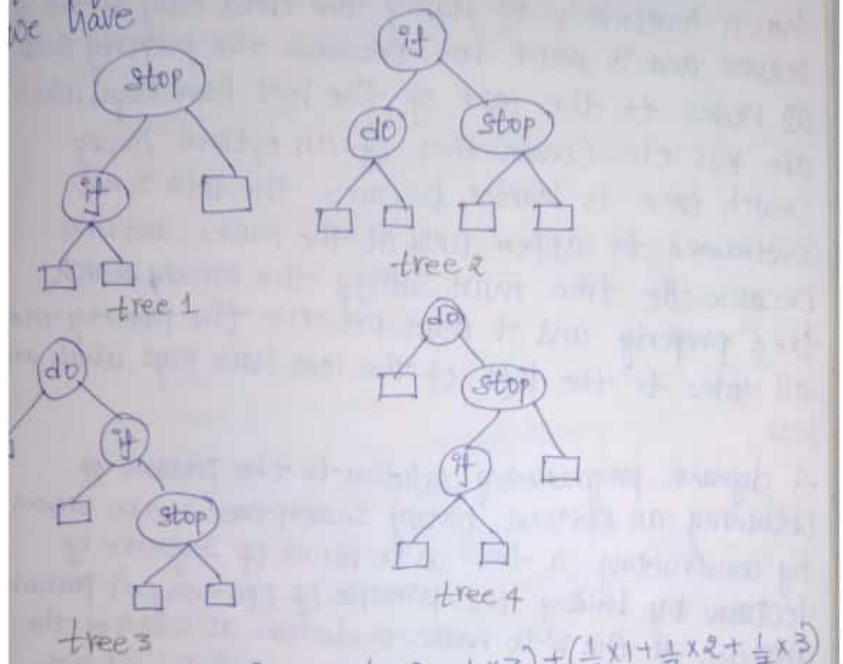
eg-1): The possible binary search tree for the identifier  
 set  $(a_1, a_2, a_3) = (d_0, if, stop)$  are as follows. Given  
 the equal probabilities  $P(i) = Q(i) = 1/7$  for all  $i$ ,  
 we have



is therefore:  
 $\leq (nm - m^2) = O(n^2)$

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Ex-1) The possible binary search tree for the identifier set  $(a_1, a_2, a_3) = (do, if, stop)$  are as follows. Given the equal probabilities  $P(i) = Q(i) = 1/7$  for all  $i$ , we have



$$\text{Cost (tree \#1)} = \left( \frac{1}{7} \times 1 + \frac{1}{7} \times 2 + \frac{1}{7} \times 3 \right) + \left( \frac{1}{7} \times 1 + \frac{1}{7} \times 2 + \frac{1}{7} \times 3 \right) + \left( \frac{1}{7} \times 3 \right)$$

$$= \frac{1+2+3}{7} + \frac{1+2+3+3}{7} = \frac{6+9}{7} = \frac{15}{7}$$

$$\text{Cost (tree \#2)} = \left( \frac{1}{7} \times 1 + \frac{1}{7} \times 2 + \frac{1}{7} \times 2 \right) + \left( \frac{1}{7} \times 2 + \frac{1}{7} \times 2 + \frac{1}{7} \times 2 \right) + \left( \frac{1}{7} \times 2 + \frac{1}{7} \times 2 \right)$$

$$= \frac{1+2+2}{7} + \frac{2+2+2+2}{7} = \frac{5+8}{7} = \frac{13}{7}$$

$$\text{Cost (tree \#3)} = \left( \frac{1}{7} \times 1 + \frac{1}{7} \times 2 + \frac{1}{7} \times 3 \right) + \left( \frac{1}{7} \times 1 + \frac{1}{7} \times 2 + \frac{1}{7} \times 3 \right) + \left( \frac{1}{7} \times 3 \right)$$

$$= \frac{1+2+3}{7} + \frac{1+2+3+3}{7} = \frac{6+9}{7} = \frac{15}{7}$$

$$\text{Cost (tree \#4)} = \left( \frac{1}{7} \times 1 + \frac{1}{7} \times 2 + \frac{1}{7} \times 3 \right) + \left( \frac{1}{7} \times 1 + \frac{1}{7} \times 2 + \frac{1}{7} \times 3 \right) + \left( \frac{1}{7} \times 3 \right)$$

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$$= \frac{1+2+3}{7} + \frac{1+2+3+3}{7} = \frac{15}{7}$$

Huffman coding tree solved by a greedy algorithm has a limitation of having the data only at the leaves and it must not preserve the property that all nodes to the left of the root have keys, which are less etc. Construction of an optimal binary search tree is harder, because the data is not constrained to appear only at the leaves, and also because the tree must satisfy the binary search tree property and it must preserve the property the

$$\text{Cost (tree \#3)} = \left( \frac{1}{7} \times 1 + \frac{1}{7} \times 2 + \frac{1}{7} \times 3 \right) + \left( \frac{1}{7} \times 1 + \frac{1}{7} \times 2 + \frac{1}{7} \times 3 + \frac{1}{7} \times 3 \right)$$

$$= \frac{1+2+3}{7} + \frac{1+2+3+3}{7} = \frac{6+7}{7} = \frac{13}{7}$$

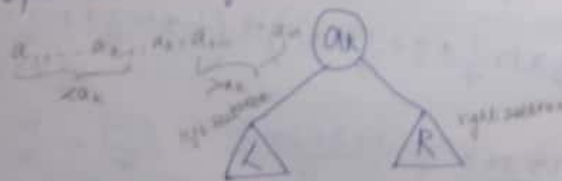
$$\text{Cost (tree \#4)} = \left( \frac{1}{7} \times 1 + \frac{1}{7} \times 2 + \frac{1}{7} \times 3 \right) + \left( \frac{1}{7} \times 1 + \frac{1}{7} \times 2 + \frac{1}{7} \times 3 + \frac{1}{7} \times 3 \right)$$

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$$= \frac{1+2+3}{7} + \frac{1+2+3+3}{7} = \frac{13}{7}$$

Huffman Coding tree solved by a greedy algorithm has a limitation of having the data only at the leaves and it must not preserve the property that all nodes to the left of the root have keys, which are less etc. Construction of an optimal binary search tree is harder, because the data is not constrained to appear only at the leaves, and also because the tree must satisfy the binary search tree property and it must preserve the property that all nodes to the left of the root have keys, which are less.

A dynamic programming solution to the problem of obtaining an optimal binary search tree can be viewed by constructing a tree as a result of sequence of decisions by holding the principle of optimality. A possible approach to this is to make a decision as to which of the  $a_i$ 's be assigned to the root node at 'T'. If we choose  $a_k$  then it is clear that the central internal nodes for  $a_1, a_2, \dots, a_{k-1}$  as well as the external nodes for the classes  $E_0, E_1, \dots, E_{k-1}$  will lie in the left subtree, L, of the root. The remaining nodes will be in the right subtree, R. The structure of an optimal binary search tree is:



$$\text{Cost}(R) = \sum_{i=1}^k P(i) * \text{level}(a_i) + \sum_{j=0}^{k-1} Q(j) * \text{level}(E_j)$$

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$$\text{Cost}(R) = \sum_{i=1}^k P(i) * \text{level}(a_i) + \sum_{j=0}^{k-1} Q(j) * (\text{level}(E_j) - 1)$$

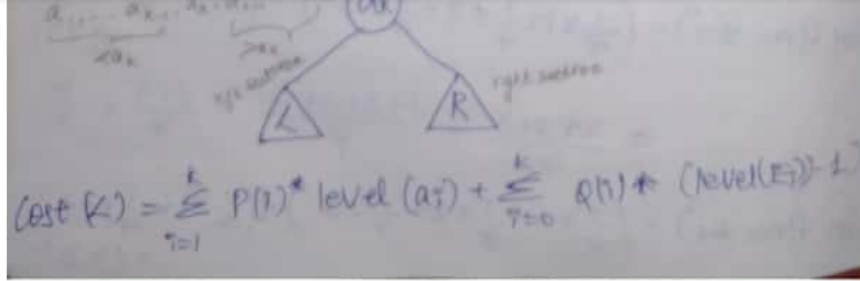
The  $C(i, j)$  can be computed as

$$C(i, j) = \min_{1 \leq k \leq j} \{ C(i, k-1) + C(k, j) + P(k) + W(i, k-1) + W(k, j) \}$$

$$= \min \{ C(i, k-1) + C(k, j) \} + W(i, j) \rightarrow \text{①}$$

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$$\text{Cost}(R) = \sum_{i=1}^k P(i) * \text{level}(a_i) + \sum_{i=0}^k Q(i) * (\text{level}(E_i) - 1)$$

The  $C(i, j)$  can be computed as

$$C(i, j) = \min_{1 < k \leq j} \{ C(i, k-1) + C(k, j) + P(k) + W(i, k-1) + W(k, j) \}$$

$$= \min_{1 < k \leq j} \{ C(i, k-1) + C(k, j) \} + W(i, j) \rightarrow (1)$$

Where  $W(i, j) = P(j) + Q(j) + W(i, j-1) \rightarrow (2)$

Initially  $C(i, i) = 0$  and  $W(i, i) = Q(i)$  for  $0 \leq i \leq n$

Equation (1) may be solved for  $C(0, n)$  by first computing all  $C(i, j)$  such that  $j-i=1$ . Next, we can compute all  $C(i, j)$  such that  $j-i=2$ , then all  $C(i, j)$  with  $j-i=3$ , and so on.

$T_{ij}$  is the cost of the optimal binary search tree of each tree  $T_{ij}$ . Then an optimal binary search tree may be constructed from these  $R(i, j)$ .  $R(i, j)$  is the value of 'k' that minimizes equation (1).

We solve the problem by knowing  $W(i, i+1), C(i, i+1)$  and  $R(i, i+1), 0 \leq i < n$ . Knowing  $W(i, i+2), C(i, i+2)$  and  $R(i, i+2), 0 \leq i < n-1$  and repeating until  $W(0, n), C(0, n)$  and  $R(0, n)$  are obtained.

The results are tabulated to recover the actual tree.

Example 1: Let  $n=4$  and  $(a_1, a_2, a_3, a_4) = (d_0, q_1, \text{reed}, \text{white})$ . Let  $P(1:4) = (3, 3, 1, 1)$  and  $Q(0:4) = (3, 3, 1, 1, 1)$

Solution:

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Table for recording  $W(i, j), C(i, j)$  and  $R(i, j)$

This computation is carried out row-wise from row 0 to row  $n$ . Initially,  $W(i, i) = Q(i)$  and  $C(i, i) = 0$  and  $R(i, i) = 0, 0 \leq i < n$ .

Solving for  $C(0, n)$ :

First, computing all  $C(i, j)$  such that  $j-i=1; j=i+1$  and as  $0 \leq i < n; i=0, 1, 2, 3; i < k \leq j$ . start with  $i=0; \text{so } j=1;$

$P(1,4)$  and  $R(0,4)$  are obtained.  
 The results are tabulated to recover the actual tree  
 Example 1: Let  $n=4$  and  $(a_1, a_2, a_3, a_4) = (d, o, j, \text{reed, white})$ . Let  $P(1:4) = (3, 3, 1, 1)$  and  $Q(0:4) = (2, 3, 1, 1)$   
 Solution:

Table for recording  $W(i,j)$ ,  $C(i,j)$  and  $R(i,j)$   
 This computation is carried out row-wise from row 0 to row  $n$ .  
 Initially,  $W(i,i) = Q(i)$  and  $C(i,i) = 0$  and  $R(i,i) = 0$ ,  
 $0 \leq i < 4$ .

Solving for  $C(0,n)$ :

First, computing all  $C(i,j)$  such that  $j-i=1$ ;  $j=i+1$  and as  
 $0 \leq i < 4$ ;  $i=0, 1, 2, 3$ ;  $i < k \leq j$ . start with  $i=0$ ; so  $j=1$ ;  
 as  $i < k \leq j$ , so the possible value for  $k=1$

$W(0,1) = P(1) + Q(1) + W(0,0) = 3 + 3 + 2 = 8$   
 $C(0,1) = W(0,1) + \min\{C(0,0) + C(1,1)\} = 8$   
 $R(0,1) = 1$  (value of 'k' that is minimum in the above equation)

Next with  $i=1$ ; so  $j=2$ ; as  $i < k \leq j$ , so the possible value  
 for  $k=2$

$W(1,2) = P(2) + Q(2) + W(1,1) = 3 + 1 + 3 = 7$   
 $C(1,2) = W(1,2) + \min\{C(1,1) + C(2,2)\} = 7$   
 $R(1,2) = 2$

Next with  $i=2$ ; so  $j=3$ ; as  $i < k \leq j$ , so the possible value  
 for  $k=3$

$W(2,3) = P(3) + Q(3) + W(2,2) = 1 + 1 + 1 = 3$   
 $C(2,3) = W(2,3) + \min\{C(2,2) + C(3,3)\} = 3 + [0 + 0] = 3$

Next with  $i=3$ ; so  $j=4$ ; as  $i < k \leq j$ , so the possible  
 value for  $k=4$

$W(3,4) = P(4) + Q(4) + W(3,3) = 1 + 1 + 1 = 3$   
 $C(3,4) = W(3,4) + \min\{C(3,3) + C(4,4)\} = 3 + [0 + 0] = 3$   
 $R(3,4) = 4$

Second, computing all  $C(i,j)$  such that  $j-i=2$ ;  $j=i+2$   
 and as  $0 \leq i < 3$ ;  $i=0, 1, 2$ ;  $i < k \leq j$ . start with  $i=0$ ; so  
 $j=2$ ; as  $i < k \leq j$ , so the possible values for  $k=1$  and  $k=2$

$W(0,2) = P(2) + Q(2) + W(0,1) = 3 + 1 + 8 = 12$   
 $C(0,2) = W(0,2) + \min\{C(0,0) + C(1,2), C(0,1) + C(2,2)\}$   
 $= 12 + \min\{0 + 7, 8 + 0\}$   
 $= 19$

$$W(3,4) = P(4) + Q(4) + W(3,3) = 1 + 1 + 1 = 3$$

$$C(3,4) = W(3,4) + \min\{[C(3,3) + C(4,4)]\} = 3 + \{(0+0)\} = 3$$

$$R(3,4) = 4$$

Second, Computing all  $C(i,j)$  such that  $j-1=2; j=i+2$  and as  $0 \leq i < 3; i=0,1,2; i < k \leq j$ . Start with  $i=0$ ; so  $j=2$ ; as  $i < k \leq j$ , so the possible values for  $k=1$  and 2

$$W(0,2) = P(2) + Q(2) + W(0,1) = 3 + 1 + 8 = 12$$

$$C(0,2) = W(0,2) + \min\{[C(0,0) + C(1,2)], [C(0,1) + C(2,2)]\}$$

$$= 12 + \min\{(0+7), (8+0)\}$$

$$= 19$$

Next, with  $i=1$ ; so  $j=3$ ; as  $i < k \leq j$ , so the possible values for  $k=2$  and 3

$$W(1,3) = P(3) + Q(3) + W(1,2) = 1 + 1 + 7 = 9$$

$$C(1,3) = W(1,3) + \min\{[C(1,1) + C(2,3)], [C(1,2) + C(3,3)]\}$$

$$= W(1,3) + \min\{(0+3), (7+0)\}$$

$$= 9 + 3$$

$$= 12$$

Next, with  $i=2$ , so  $j=4$ ; as  $i < k \leq j$ , so the possible values for  $k=3$  and 4

$$W(2,4) = P(4) + Q(4) + W(2,3) = 1 + 1 + 3 = 5$$

$$C(2,4) = W(2,4) + \min\{[C(2,2) + C(3,4)], [C(2,3) + C(4,4)]\}$$

$$= 5 + \min\{(0+3), (3+0)\} = 5 + 3 = 8$$

Third, Computing all  $C(i,j)$  such that  $j-1=3; j=i+3$  and as  $0 \leq i < 2; i=0,1; i < k \leq j$ . Start with  $i=0$ ; so  $j=3$ ; as  $i < k \leq j$ ; so the possible values for  $k=1,2$  and 3

$$W(0,3) = P(3) + Q(3) + W(0,2) = 1 + 1 + 12 = 14$$

$$C(0,3) = W(0,3) + \min\{[C(0,0) + C(1,3)], [C(0,1) + C(2,3)], [C(0,2) + C(3,3)]\}$$

$$= 14 + \min\{(0+12), (8+3), (19+0)\} = 14 + 11 = 25$$

$$R(0,3) = 2$$

Start with  $i=1$ ; so  $j=4$ ; as  $i < k \leq j$ , so the possible values for  $k=2,3$  and 4

$$W(1,4) = P(4) + Q(4) + W(1,3) = 1 + 1 + 9 = 11$$

$$C(1,4) = W(1,4) + \min\{[C(1,1) + C(2,4)], [C(1,2) + C(3,4)], [C(1,3) + C(4,4)]\}$$

$$= 11 + \min\{(0+8), (7+3), (12+0)\} = 11 + 8 = 19$$

$$W(0,3) = P(3) + Q(3) + W(0,2) = 1+1+2 = 4$$

$$C(0,3) = W(0,3) + \min\{[C(0,0) + C(1,3)], [C(0,1) + C(2,3)], [C(0,2) + C(3,3)]\}$$

$$= 4 + \min\{(0+2), (8+3), (19+0)\} = 4+11 = 25$$

$$R(0,3) = 2$$

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Start with  $i=1$ ; so  $j=4$ , as  $i < k \leq j$ , so the possible values for  $k=2,3$  and  $4$

$$W(1,4) = P(4) + Q(4) + W(1,3) = 1+1+9 = 11$$

$$C(1,4) = W(1,4) + \min\{[C(1,1) + C(2,4)], [C(1,2) + C(3,4)], [C(1,3) + C(4,4)]\}$$

$$= 11 + \min\{(0+8), (7+3), (12+0)\} = 11+8 = 19$$

$$R(1,4) = 2$$

Fourth, computing all  $C(i,j)$  such that  $j-i=4$ ;  $j=i+4$  and as  $0 \leq i < 4$ ,  $i=0$ ;  $i < k \leq j$

$$W(0,4) = P(4) + Q(4) + W(0,3) = 1+1+14 = 16$$

$$C(0,4) = W(0,4) + \min\{[C(0,0) + C(1,4)], [C(0,1) + C(2,4)], [C(0,2) + C(3,4)], [C(0,3) + C(4,4)]\}$$

$$= 16 + \min\{(0+19), (8+8), (19+3), (25+0)\}$$

$$= 16+6$$

$$= 22$$

$$R(0,4) = 2$$

Column Row	0	1	2	3	4
0	2,0,0	3,0,0	1,0,0	1,0,0	1,0,0
1	8,8,1	7,7,2	3,3,3	3,3,4	
2	12,12,1	19,12,2	5,8,3		
3	14,25,2	11,19,2			
4	16,32,2				

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Algorithm:  
Algorithm OBST(P,q,n)

for  $i=0$  to  $n-1$  do

$W[i,1] = q(i)$ ,  $R[i,1] = 0$ ,  $C[i,i] = 0.0$

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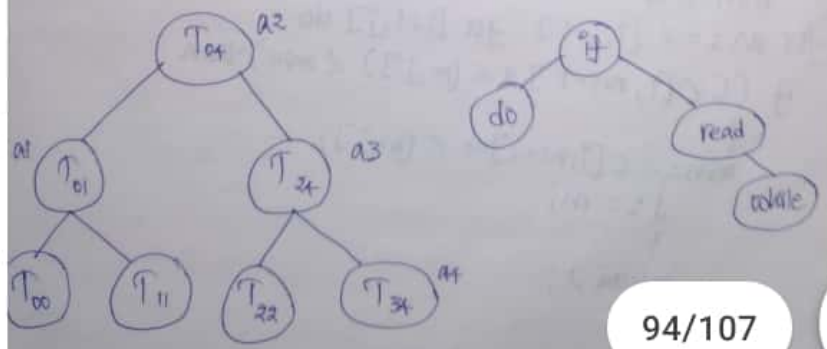
2	12, 19, 1	19, 12, 2	5, 8, 3
3	14, 25, 2	11, 19, 2	
4	16, 32, 2		

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Algorithm:  
Algorithm OBST (p, q, n)

for i := 0 to n-1 do  
 $w[i, i] = q[i], y[i, i] = 0, c[i, i] = 0.0$   
 $w[i, i+1] = \dots$

From the table we see that  $c(0,4) = 32$  is the minimum cost of a binary search tree for  $(a_1, a_2, a_3, a_4)$ . The root of the tree  $T_{04}$  is  $a_2$ . Hence the left subtree is  $T_{01}$  and right subtree is  $T_{24}$ . The root of  $T_{01}$  is  $a_1$  and the root of  $T_{24}$  is  $a_3$ . The left and right subtrees for  $T_{01}$  are  $T_{00}$  respectively. The root of  $T_{01}$  is  $a_1$ . The left and right subtrees for  $T_{24}$  are  $T_{22}$  and  $T_{34}$  respectively. The root of  $T_{24}$  is  $a_3$ . The root of  $T_{22}$  is null. The root of  $T_{34}$  is  $a_4$ .

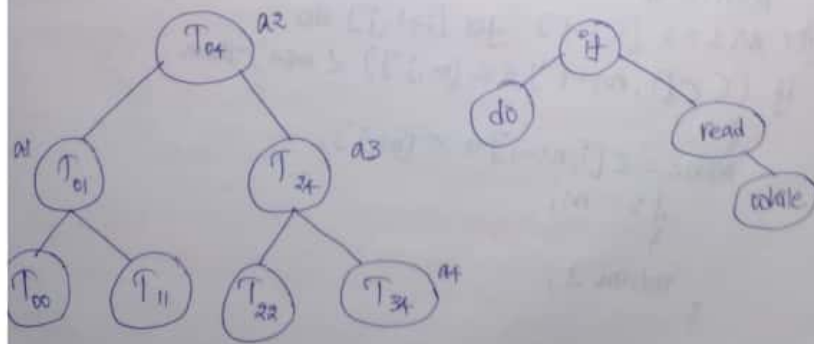


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Algorithm OBST (p, q, n)

for i := 0 to n-1 do  
 $w[i, i] = q[i], y[i, i] = 0, c[i, i] = 0.0;$   
 $w[i, i+1] = q[i] + q[i+1] + p[i+1];$   
 $y[i, i+1] = i+1;$

the root of  $T_{34}$  is  $a_4$



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Algorithm OBST ( $P, q, n$ )

```

{
  for  $i := 0$  to  $n-1$  do
  {
     $w[i, i] = q[i]$ ;  $v[i, i] = 0$ ;  $c[i, i] = 0.0$ ;
     $w[i, i+1] := q[i] + q[i+1] + p[i+1]$ ;
     $v[i, i+1] = i+1$ ;
     $c[i, i+1] := q[i] + q[i+1] + p[i+1]$ ;
  }
   $w[n, n] := q[n]$ ;  $v[n, n] := 0$ ;  $c[n, n] := 0.0$ ;
  for  $m := 2$  to  $n$  do
  for  $i := 0$  to  $n-m$  do
     $j := i+m$ ;
     $w[i, j] := w[i, j-1] + p[j] + q[j]$ ;
     $k = \text{Find}(c, v, i, j)$ ;
     $c[i, j] := w[i, j] + c[i, k-1] + c[k, j]$ ;
     $v[i, j] := k$ ;
  }
  Write ( $c[0, n], w[0, n], v[0, n]$ );
}

```

Algorithm Find ( $c, v, i, j$ )

```

{
   $min := \infty$ 
  for  $m := v[i, j-1]$  to  $j$  do
  for  $i+1, j$  do
  if  $(c[i, m-1] + c[m, j]) < min$  then
  {
     $min := c[i, m-1] + c[m, j]$ ;
     $d := m$ ;
  }
  return  $d$ ;
}

```

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Unit III

Techniques for binary trees:

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